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# Micro Focus Security

## ArcSight ESM CIP for SOX

Software Version: ESM 6.8c, 6.9.1c, or 6.11.0, 7.0

### Release Notes

Document Release Date: August 30, 2018

Software Release Date: August 30, 2018



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<b>Phone</b>	A list of phone numbers is available on the Technical Support Page: <a href="https://softwaresupport.softwaregrp.com/support-contact-information">https://softwaresupport.softwaregrp.com/support-contact-information</a>
<b>Support Web Site</b>	<a href="https://softwaresupport.softwaregrp.com/">https://softwaresupport.softwaregrp.com/</a>
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# Contents

- CIP for SOX 5.0 ..... 4
  - Release Contents ..... 4
  - Installing CIP for SOX 5.0 ..... 4
  - Performance Impact of CIP for SOX ..... 5
  - Open Issues in this Release ..... 5
  
- Send Documentation Feedback ..... 6

# CIP for SOX 5.0

The Compliance Insight Package for Sarbanes-Oxley version 5.0 (CIP for SOX5) is a coordinated set of ArcSight resources that provide an essential foundation for your Sarbanes-Oxley compliance program based on the ISO 17799:2013 standard. The solution enables you to monitor, prioritize, respond to, and report on network activity for systems that are subject to Sarbanes-Oxley compliance. CIP for SOX5 provides a series of real-time checks specifically designed to evaluate risk, initiate immediate response, and provide comprehensive reporting of high and low-risk activity, to give you and your auditors assurance that the controls over the infrastructure that hosts your financial systems expose little or no risk.

## Release Contents

The files included in this release are:

File name	Description
ESM_SOX_Solution_ReleaseNotes_5.0.pdf	This document. Product descriptions and open issues
ESM_SOX_SolutionsGuide_5.0.pdf	<i>ArcSight ESM Compliance Insight Package for SOX 5.0 Solutions Guide</i> Product architecture, installation, configuration, and operation instructions with a description of product contents.
ComplianceInsightPackage-SOX.5.0.0008.arb	Installable package bundle for all operating systems. Contains all the resources of the Compliance Insight Package for SOX. <b>Note:</b> If you use Internet Explorer to download the ARB file, it may convert the ARB file to a ZIP file. If this occurs, rename the ZIP file back to an ARB file before importing into ArcSight ESM.

## Installing CIP for SOX 5.0

The Compliance Insight Package for SOX (CIP for SOX) is supported on the following versions of ESM:

- ESM 6.8c
- ESM 6.9.1c
- ESM 6.11.0
- ESM 7.0

CIP for SOX 5.0 is for fresh installation only.

Complete installation and configuration instructions for the Compliance Insight Package for SOX are located in the document called *ArcSight ESM Solution Guide Compliance Insight Package SOX 5.0* (ESM\_SOX\_SolutionGuide\_5.0.pdf).

## Performance Impact of CIP for SOX

ArcSight ESM Solution Packages contain data monitors and rules that can place an additional load on the ArcSight ESM Manager, which may impact the ArcSight ESM Manager performance. If your ESM system is operating at an average event per second (EPS) rate that has maximized the CPU utilization, you might experience a reduced average EPS rate after installing the CIP for SOX package. If this performance impact occurs, ArcSight recommends that you disable unneeded data monitors and rules to reduce the load on the Manager.

## Open Issues in this Release

The following issue is open in this release.

Issue	Description
SOL-5842	<p>The following reports are only relevant to cases created by Sarbanes Oxley Rules:</p> <ol style="list-style-type: none"><li data-bbox="509 1010 841 1037">1. Open Cases by Control Family</li><li data-bbox="509 1052 971 1079">2. Open Cases by Control Family and Severity</li><li data-bbox="509 1094 764 1121">3. Open Cases by Owner</li><li data-bbox="509 1136 781 1163">4. Open Cases by Severity</li></ol> <p>If you want to include the results of the ISO cases which are created manually or by other solutions, make sure to remove the "Event-File Path contains Sarbanes Oxley" condition from the referenced queries of the above reports.</p>

# Send Documentation Feedback

If you have comments about this document, you can [contact the documentation team](#) by email. If an email client is configured on this computer, click the link above and an email window opens with the following information in the subject line:

**Feedback on Release Notes (ESM CIP for SOX ESM 6.8c, 6.9.1c, or 6.11.0, 7.0)**

Just add your feedback to the email and click send.

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We appreciate your feedback!