



# Host Access Analyzer 2.0

Host Access Analyzer 2.0 Help

**Micro Focus**  
**The Lawn**  
**22-30 Old Bath Road**  
**Newbury, Berkshire RG14 1QN**  
**UK**  
**<http://www.microfocus.com>**

© Copyright 2019-2021 Micro Focus or one of its affiliates.

**MICRO FOCUS**, the Micro Focus logo and Host Access Analyzer are trademarks or registered trademarks of Micro Focus or one of its affiliates.

All other marks are the property of their respective owners.

2021-12-09

# Contents

<b>Installing HAA on Linux</b>	<b>5</b>
Introduction	5
Terminology	5
Prerequisites	5
Preparing for installation	6
Setup Script	6
Installing HAA Server on three machines	7
Stop services	7
Start services	7
Installing HAA Server on a single machine	7
Stop services	7
Start services	7
Accessing the server	8
Configuring users	8
Configuring the client	8
Accessing the portal	8
Accessing Identity and Access management	8
Using index management	8
Accessing index management	8
Editing the default policy	9
Creating a policy	9
Applying a policy to an index	9
Removing a policy	9
Deleting a policy	9
Policy settings	10
<b>Installing HAA on Windows</b>	<b>11</b>
Introduction	11
Installation methods	11
Terminology	11
Prerequisites	11
Installing HAA Server	12
Enabling .NET 3.5	12
Installing Java	12
Installing Elasticsearch	13
Installing Kibana	13
Installing RabbitMQ	13
Installing HAA Server	14
Installing PostgreSQL	14
Installing Identity and Access Management	14
Installing the HAA Portal	15
Installing Traefik Proxy	15
Accessing the server	16
Configuring the client	16
Accessing the portal	16
Using index management	16
Accessing index management	16
Editing the default policy	17
Creating a policy	17
Applying a policy to an index	17
Removing a policy	17
Deleting a policy	17

Policy settings .....	18
<b>Configuring Identity and Access Management .....</b>	<b>19</b>
Opening the Identity and Access Management console .....	19
LDAP configuration .....	19
Manual user configuration .....	20
<b>Installing and Configuring the HAA Client .....</b>	<b>21</b>
System Requirements .....	21
Installation .....	21
Installing the service silently .....	21
Uninstalling the service silently .....	22
Installing the service using the wizard .....	22
Modifying configurations .....	22
Server configuration .....	23
Service configuration .....	23
User configuration .....	24
Adding a custom configuration .....	26
Working Offline .....	28
<b>Using the HAA Portal .....</b>	<b>29</b>
Introducing the Host Access Analyzer Web Portal .....	29
Supported browsers .....	29
Portal timeout .....	29
Portal Dashboards .....	29
Defining users and devices .....	29
Customizing a dashboard .....	29
Creating your own dashboard .....	30
Customizing the time range .....	30
Persisting a filter for all dashboards .....	30
Exporting dashboard data .....	31
Exporting aggregated data .....	31
Exporting raw data .....	31
Importing dashboards .....	32
Modifying client configuration files .....	32
Side panel .....	32
Menu options .....	32
<b>Contacting Micro Focus .....</b>	<b>34</b>
Further information and product support .....	34
Information we need .....	34
Contact information .....	34
<b>MICRO FOCUS END USER LICENSE AGREEMENT .....</b>	<b>36</b>

# Installing HAA on Linux

## Introduction

The Host Access Analyzer (HAA) installer for Linux is a tar.gz file that contains docker images for all required components as well as installation scripts and certificate components.

## Terminology

The following terminology is used in this guide:

**Single machine** When installing HAA on a single machine, the machine is referred to as *Manager*.

**Three machines** When installing HAA on three machines, the machines are referred to as:

- *Manager*
- *Node 1*
- *Node 2*

Services are distributed between three different machines, including the one where setup is run.

## Prerequisites

### Supported operating systems:

- RHEL 7.4 and later, RHEL 8.x
- SUSE SLES 12, SUSE SLES 15

### Hardware:

Each machine requires a minimum of:

- 16 GB RAM
- 4 CPUs

### Software:

- Docker engine (minimum version 18.09.0) and docker-compose tool (minimum version 1.22.0)

### Firewall:

- The following ports must be available:
  - TCP port 2377 for Manager node.
  - TCP and UDP port 7946 for all nodes.
  - UDP port 4789 for all nodes.

Any firewall should be configured correctly. On the Manager machine, the firewall must allow either default SSL port 443, or a custom port you provide during setup, as well as port 6566.

### Enhanced security features:

Enhanced security features should be turned off.

### Certificates:

If you intend to replace the supplied self-signed certificates in the cert directory, you must prepare your own certificates.

### Installation node for Elasticsearch:

For single-node installation, Elasticsearch must be installed on the Manager node.

For 3-node installation, Elasticsearch must be installed on Node 1.

### Virtual memory for Elasticsearch node:

By default, Elasticsearch uses a mmaps directory to store its indexes. The default operating system limits on mmap counts is likely to be too low, which may result in out of memory exceptions. On Linux, you can increase the limits by running the following command using administrator permissions on the node where Elasticsearch is going to be installed:

```
sysctl -w vm.max_map_count=262144
```

To set this value permanently, update the `vm.max_map_count` setting in the `/etc/sysctl.conf` file. To verify after rebooting, run `sysctl vm.max_map_count`.

### Memory lock limits for Elasticsearch node:

Elasticsearch tries to lock memory to improve performance. We recommend removing memory lock limits to enable this. Use administrator permissions to remove the limit for docker on the Elasticsearch node:

1. Add the line `LimitMEMLOCK=infinity` to the [Service] section of the `/usr/lib/systemd/system/docker.service` file and `containerd.service` (if it exists).
2. Run `systemctl daemon-reload`.
3. Run `systemctl restart docker` and `containerd` (if it exists).
4. Restart HAA by running `./stop.sh` and `./start.sh` on the Manager node if it was already running.

## Preparing for installation

1. Run `tar -xf <filename>.tar.gz` to extract the content.
2. Edit the `es-indices-settings.ini` file and configure the settings for your enterprise.
3. Save the file, then close it.

## Setup Script

setup script contains different parameters you can use. To see the usage guide, run `./setup.sh` without parameters.

<b>--single-machine</b>	Triggers single machine installation.
<b>User1@HostName IP User2@HostName IP</b>	Triggers swarm installation on three defined machines (current machine plus additional supplied machines.)
<b>--tmpdir</b>	Specifies where the files are uploaded on the node machines. Optional. If provided, tmpdir should be located on all nodes. If omitted, the /tmp directory is used by default.
<b>--hostname</b>	Full public host name of the Manager machine. For example, foo.myorg.com. Optional. If omitted, you are prompted during setup.
<b>--port</b>	Desired SSL port. Optional. If omitted, you are prompted during setup. Defaults to 443.
<b>--certfile</b>	/path/to/your/file.crt. Optional. The installer supplies a self-generated certificate file. If omitted, you are prompted during setup.

<b>--keyfile</b>	/path/to/your/file.key. Optional. The installer supplies a self-generated key file. If omitted, you are prompted during setup.
<b>--eula-accepted</b>	Optional. If omitted, you are prompted to accept the End User License Agreement during setup.

## Installing HAA Server on three machines

This is the recommended method of installing HAA Server.

Use the supplied setup.sh script to install HAA Server on the manager node and two specified nodes.

```
./setup.sh <User>@<NODE 1(HostName|IP)> <User>@<NODE 2(HostName|IP)> [--tempdir=<dir>] [--hostname=<full_public_host_name_of_machine>] [--port=<port>] [--certfile=</path/to/your/file.crt>] [--keyfile=</path/to/your/file.key>][--eula-accepted]
```

During the process you might be prompted to accept the End User License Agreement, as well as for hostname/port and the crt/key file path if not entered in advance.

You might also be prompted for passwords to the other nodes.



**Note:** Installation starts the services automatically.

### Stop services

To stop the services, run the ./stop.sh script.

### Start services

To start previously stopped services, run the ./start.sh script.

## Installing HAA Server on a single machine

Use the supplied setup.sh script to install HAA Server on a single machine.

```
./setup.sh --single-machine [--tempdir=<dir>] [--hostname=<full_public_host_name_of_machine>] [--port=<port>] [--certfile=</path/to/your/file.crt>] [--keyfile=</path/to/your/file.key>][--eula-accepted]
```

During the process you might be prompted to accept the End User License Agreement, as well as for hostname/port and the crt/key file path if not entered in advance.



**Note:** Installation starts the services automatically.

### Stop services

To stop the services, run the ./stop.sh script.

### Start services

To start previously stopped services, run the ./start.sh script.

## Accessing the server

### Configuring users

For user integration with LDAP, see [LDAP configuration](#) on page 19.

For manual user configuration, see [Manual user configuration](#) on page 20.

### Configuring the client

To point the client to the server, provide the Manager node IP address with port 6566 during the installation process.

To change the host address after installation:

1. Edit the following file on the client machine:

`%programdata%\Micro Focus\HostAccessAnalyzer\HostAccessAnalyzerServerConfiguration.conf`

2. Change the Host value, then save the file.
3. Restart the HostAccessAnalyzerService service.

### Accessing the portal

Enter the following into a browser:

`https://<manager_host_name>[:<Non-Default User Defined SSL Port>]`

### Accessing Identity and Access management

1. To access the **Identity and Access Management** page, enter the following URL in your browser:

`https://<manager-host-name>[:<user_defined_ssl_port>]/auth`

2. Enter the following credentials:

admin

and:

admin

Change these after your first login.

## Using index management

Old user event data is deleted from the HAA database to save space and keep the Elasticsearch cluster healthy. You can use index management to configure what events should be deleted and how often by implementing policies on the events of your choice.

HAA provides a default policy. You can either edit this policy or create one of your own.

### Accessing index management

To start using index management:

1. Enter the following URL in your browser:

[https://<manager\\_host\\_name>\[:<Non-Default User Defined SSL Port>\]/kibana](https://<manager_host_name>[:<Non-Default User Defined SSL Port>]/kibana)

2. From the **Home** menu, select:

**Management > Index Management**

## Editing the default policy

1. On the **Index policies** page, select the default policy, haa-policy.
2. In the dialog box, click **Edit** to open the **Edit policy** page.
3. Edit the policy as appropriate, then click **Update**.

See [Policy settings](#) on page 10.

## Creating a policy

1. On the **Index policies** page, click **Create policy**.  
The **Create** page appears.
2. In the **Policy ID** field, type a name for the policy.
3. In the **Define policy** frame, edit the default policy as appropriate, then click **Create**.

You return to the **Index policies** page and the new policy appears in the list of policies.

See [Policy settings](#) on page 10.

## Applying a policy to an index

1. In the left hand menu, select **Indices**.

The **Indices** page appears.

2. Check each index you want the policy to apply to.



**Note:** You can only apply a policy to indices that do not already have a policy applied to them.

3. Click **Apply policy**.

The **Apply policy** dialog box appears.

4. From the **Policy ID** drop-down list, select the policy you want to apply to the selected events.

Click **Apply**.

5. To attach a rollover alias to your index, select your policy and select **Add rollover alias**.

Ensure that the alias already exists. For more information, see <https://opendistro.github.io/for-elasticsearch-docs/docs/im/ism/>.

## Removing a policy

To remove a policy from an index:

1. Go to the **Managed Indices** page.
2. Check the appropriate index or indices.
3. In the confirmation dialog box, click **Remove policy**.

## Deleting a policy

To delete a policy:

1. Go to the **Index policies** page.
2. Check the appropriate policy or policies.
3. Click **Delete**.
4. In the confirmation dialog box, click **Delete**.

## Policy settings

You can change the following settings:

Description	Description	Type	Required
policy_id	The name of the policy.	String	Yes
description	A human-readable description of the policy.	String	Yes
default_state	The default starting state for each index that uses this policy. The default starting state for each index that uses this policy.	String	Yes
name	The name of the state.	String	Yes
state_name	The name of the state to transition to if the conditions are met.	String	Yes
min_index_age	The minimum age of the index required to transition.	String	No

For more information about policy settings, see <https://opendistro.github.io/for-elasticsearch-docs/docs/im/ism/policies/>.

# Installing HAA on Windows

## Introduction

The Host Access Analyzer (HAA) installer for Windows is an .msi file.

The .msi file is used to install all HAA components:

- JAVA
- HAA Server
- HAA Portal
- RabbitMQ
- Traefik Proxy
- Elasticsearch
- Kibana
- PostgreSQL
- Identity and Access Management

When you run the file, the installation files for each component are extracted to a location you select.

## Installation methods

There are two ways to install HAA Server:

**msi installation** When asked to run an msi installation, double-click the .msi file. This starts the installation wizard.

**Batch installation** When asked to run a batch install, double-click the batch file with default parameters. You can also use the command prompt to run the same batch file and supply arguments based on this manual.



**Note:** Ensure you close the command prompt window for each completed batch execution before proceeding to the next installation step.

## Terminology

The following terminology is used in this guide:

**Single machine** When installing HAA on a single machine, the machine is referred to as *Manager*.

**Three machines** When installing HAA on three machines, the machines are referred to as:

- *Manager*
- *Node 1*
- *Node 2*

## Prerequisites

Each machine requires a minimum of:

**Hardware:**

- 16 GB RAM
- 4 CPUs

**Software:**

- Windows Server 2016 or 2019

## Open firewall ports

If you are not using Windows firewall, you must open the following ports:



**Note:** For single machine installation, all the ports should be open for the Manager node.

**Manager node**

Traefik port (default 443)  
GRPC Port (default 6566)  
RabbitMQ Ports (5672 & 15672)

**Node 1**

ElasticSearch Port (default 9200)  
Kibana Port (default 5601)

**Node 2**

IAM Port (8081)  
Portal port (8080)

## Installing HAA Server

### Enabling .NET 3.5



**Note:** For 3-node setup, install on all nodes.

1. Open Server Manager from : start→Server Manager.
2. Select **2 Add roles and features**.
3. Click **Next >** four times.
4. Check **.NET Framework 3.5 Features**.
5. Click **Next >**.
6. Click **Install**.
7. When installation is complete, close the window.

### Installing Java



**Note:** For 3-node setup, install on all nodes.

From a command prompt, run the following file in the JAVA folder:

Install\_JAVA.bat

The batch file installs JAVA on the machine.

There are no arguments for this file.

Close the command prompt window when execution completes.

## Installing Elasticsearch

 **Note:** For 3-node setup, install on Node 1.

In the Elasticsearch folder, double-click the Install\_ES.bat file.

The batch file installs Elasticsearch on the machine.

The following arguments can be configured:

- Folder**        Sets the installation directory. Default is Program Files.
- Port**         Sets the service listening port. Default is 9200.

To configure these arguments, run the following from a command prompt:

```
Install_ES.bat [-Folder <folder>] [-Port <port>]
```

Close the command prompt window when execution completes.

## Installing Kibana

 **Note:** For 3-node setup, install on Node 1.

In the Kibana folder, double-click the Install\_Kibana.bat file.

The batch file installs Kibana on the machine.

The following arguments can be configured:

- Folder**        Sets the installation directory. Default is Program Files.
- Port**         Sets the service listening port. Default is 5601.
- Elasticsearch** Sets the Elasticsearch endpoint (host:port). Default is http://localhost:9200.

To configure these arguments, run the following from a command prompt:

```
Install_Kibana.bat [-Folder <folder>] [-Port <port>] [-Elasticsearch <endpoint>]
```

Close the command prompt window when execution completes.

 **Note:** Installation can take some time.

## Installing RabbitMQ

 **Note:** For 3-node setup, install on the Manager node.

1. In the RabbitMQ folder, install the RabbitMQ prerequisite Erlang using the otp-22.1-win64.exe installer file. Use all installation defaults.

 **Note:** You might be prompted to install Visual studio 2013 redistributable package. If so, install it by clicking install on the machine.

2. Install RabbitMQ using the supplied rabbitmq-server-3.7.20.exe installer file. Use all installation defaults.
3. From a command prompt, run Configure\_RabbitMQ.bat.

This configures RabbitMQ on the machine. No arguments are available for this file.

4. Close the command prompt window when execution completes.

## Installing HAA Server

 **Note:** For 3-node setup, run the installer twice: once on the Manager node and once on Node 2.

1. In the Server folder, double-click the HAA Server-<ver>.msi file.  
Follow the instruction up to the **Settings** page.
2. Enter the ElasticSearch host you installed ElasticSearch on, and the port you used for the installation.  
Default ElasticSearch port is 9200.
3. Enter the RabbitMQ host you installed RabbitMQ on. Keep the default port 5672.
4. *For 3-node setup, perform this step only on the Manager node:*  
Check **Producer Mode** and use 6566 as the default **GRPC Port**.
5. *For 3-node setup, perform this step only on Node 2:*  
Check **Consumer Mode**.
6. *For single-node setup:*  
Check **Producer Mode** and **Consumer Mode**.
7. Click **Next**, then **Install**.
8. During installation, the following message is displayed:  
During installation the configuration file will be opened. You must edit the configuration file, then save and close the file to continue  
  
Edit the configuration file and configure the settings for your enterprise.
9. Save the file and close it. Installation continues.
10. Click **Finish** to exit the setup wizard.

## Installing PostgreSQL

 **Note:** For 3-node setup, install on Node 2.

1. From a command prompt, run the following file in the Postgres folder:  
Install\_postgres.bat
2. When prompted for a password, enter:  
postgres
3. Close the command prompt window when execution completes.

## Installing Identity and Access Management

 **Note:** For 3-node setup, install on Node 2.

1. From a command prompt, run the following file in the IAM folder:  
Install\_lam.bat [-Folder <folder>]  
where:  
<folder> specifies the installation folder. Default is C:\IAM.  
During installation you might be prompted to press any key to continue.

2. After installation is complete, close the command prompt window.
3. Open a browser window on the node and navigate to:

http://localhost:8081

4. Click **Continue**.
5. Enter the following credentials:

User: admin

Password: admin

For user integration with LDAP, see [LDAP configuration](#) on page 19.

For manual user configuration, see [Manual user configuration](#) on page 20.

## Installing the HAA Portal



**Note:** For 3-node setup, install on Node 2.

1. To install the server, run the HAA Portal-<ver>.msi file in the Portal folder.  
Follow The instruction up to the **Settings** page.
2. In the **Proxy** frame, type the Manager node IP. Use 443 for the port.
3. In the **Elasticsearch host** field, type the IP address of the Node 1 machine. Type the Elasticsearch port number in the **port** field.
4. Keep the **Port Field** as 8080.
5. Click **Next**, then **Install**.
6. Click **Finish** to exit the setup wizard.

## Installing Traefik Proxy



**Note:** For 3-node setup, install on the Manager node.

In the Traefik folder, locate the env.properties file. Use your preferred text editor to edit the file.

```
#Set the host and port of the server where Kibana is installed.
#Example : host:5601
KIBANA_HOST_PORT=
#Set the host and port of the server where Identity Access Manager is installed
IAM_HOST_PORT=
#Set the host and port of the server where HAA Portal is installed
PORTAL_HOST_PORT=
#Set the public host and port of the server where HAA PRODUCER server is installed
#Default port is 6566
HAA_PRODUCER_SERVER_HOST_PORT=
CERT_FILE=.\cert\haa.crt
KEY_FILE=.\cert\haa.key
```

1. After KIBANA\_HOST\_PORT=  
add:  
`<Manager_Node_DNS_for_single_node_or_node_1_DNS_for_3_node_setup>:<kibana_port>`  
Default Kibana port is 5601.
2. After IAM\_HOST\_PORT=  
add:  
`<Manager_Node_DNS_for_single_node_or_node_2_DNS_for_3_node_setup>:<iam_port>`

Default IAM port is 8081.

3. After PORTAL\_HOST\_PORT=

add:

```
<Manager_Node_DNS_for_single_node_or_node_2_DNS_for_3_node_setup>:<portal_port>
```

Default HAA Portal port is 8080.

4. After HAA\_PRODUCER\_SERVER\_HOST\_PORT=

add:

```
<Manager_Node_DNS>:<server_producer_port>
```

5. Optional: HAA comes with a default self-signed certificate and private key file (haa.crt and haa.key respectively). If you want to use your own certificate, you must provide the appropriate paths to both files before running the Install\_Traefik.bat file.
6. Save the changes and close the editor.
7. Install Traefik using the Install\_Traefik.bat file.
8. Close the command prompt window when execution completes.

## Accessing the server

### Configuring the client

To point the client to the server, provide the Manager node IP address with port 6566 during the installation process.

To change the host address after installation:

1. Edit the following file on the client machine:

```
%programdata%\Micro Focus\HostAccessAnalyzer\HostAccessAnalyzerServerConfiguration.conf
```

2. Change the Host value, then save the file.
3. Restart the HostAccessAnalyzerService service.

### Accessing the portal

Enter the following into a browser:

```
https://<Manager_Node_IP>
```

## Using index management

Old user event data is deleted from the HAA database to save space and keep the Elasticsearch cluster healthy. You can use index management to configure what events should be deleted and how often by implementing policies on the events of your choice.

HAA provides a default policy. You can either edit this policy or create one of your own.

### Accessing index management

To start using index management:

1. Enter the following URL in your browser:

```
https://<manager_host_name>[:<Non-Default User Defined SSL Port>]/kibana
```

2. From the **Home** menu, select:  
**Management > Index Management**

## Editing the default policy

1. On the **Index policies** page, select the default policy, haa-policy.
2. In the dialog box, click **Edit** to open the **Edit policy** page.
3. Edit the policy as appropriate, then click **Update**.

See [Policy settings](#) on page 10.

## Creating a policy

1. On the **Index policies** page, click **Create policy**.  
The **Create** page appears.
2. In the **Policy ID** field, type a name for the policy.
3. In the **Define policy** frame, edit the default policy as appropriate, then click **Create**.  
You return to the **Index policies** page and the new policy appears in the list of policies.

See [Policy settings](#) on page 10.

## Applying a policy to an index

1. In the left hand menu, select **Indices**.  
The **Indices** page appears.
2. Check each index you want the policy to apply to.  
 **Note:** You can only apply a policy to indices that do not already have a policy applied to them.
3. Click **Apply policy**.  
The **Apply policy** dialog box appears.
4. From the **Policy ID** drop-down list, select the policy you want to apply to the selected events.  
Click **Apply**.
5. To attach a rollover alias to your index, select your policy and select **Add rollover alias**.  
Ensure that the alias already exists. For more information, see <https://opendistro.github.io/for-elasticsearch-docs/docs/im/ism/>.

## Removing a policy

To remove a policy from an index:

1. Go to the **Managed Indices** page.
2. Check the appropriate index or indices.
3. In the confirmation dialog box, click **Remove policy**.

## Deleting a policy

To delete a policy:

1. Go to the **Index policies** page.
2. Check the appropriate policy or policies.
3. Click **Delete**.
4. In the confirmation dialog box, click **Delete**.

## Policy settings

You can change the following settings:

Description	Description	Type	Required
policy_id	The name of the policy.	String	Yes
description	A human-readable description of the policy.	String	Yes
default_state	The default starting state for each index that uses this policy. The default starting state for each index that uses this policy.	String	Yes
name	The name of the state.	String	Yes
state_name	The name of the state to transition to if the conditions are met.	String	Yes
min_index_age	The minimum age of the index required to transition.	String	No

For more information about policy settings, see <https://opendistro.github.io/for-elasticsearch-docs/docs/im/ism/policies/>.

# Configuring Identity and Access Management

## Opening the Identity and Access Management console

Enter the following URL in your browser:

`https://<manager-host-name>[:<User_Defined_SSL_PORT>]/auth>`

**User:** admin

**Password:** admin

Change these defaults after you first log in.

## LDAP configuration

1. Open the **Identity and Access Management** console.
2. On the **Realm Settings** page, verify that the **haa** realm is selected from the top left drop-down.
3. Click **Clients** and verify that **haa-portal** appears in the list.
4. Click **User Federation**. On the **Settings** page:
  - a) Add a **User Federation** provider of type **Idap**.
  - b) For **Vendor**, select **Active Directory**.
  - c) Populate the required fields with information provided by your system administrator.
5. To use the domain user name in portal authentication, replace the value **cn** with **sAMAccountName** in the following fields:

**Username LDAP attribute**

**RDN LDAP attribute**

6. Click **Save**.
7. To see the user avatar in the HAA portal:
  - a) Select **User Federation > Ldap > LDAP Mappers**.
  - b) Click **Create**.
  - c) In the **Name** field, type **picture**.
  - d) From the **Mapper Type** drop-down list, select **user-attribute-ldap-mapper**.
  - e) Click **Save**.
  - f) Select **picture**. The **Picture** window appears.
  - g) Complete the fields in the **Picture** window.



### Notes:

- The **User Model Attribute** field picture must be **picture**.
- The default Active Directory attribute name that holds the user picture is **thumbnailPhoto**. If you have custom settings, or using a different LDAP server, you must provide the attribute name for thumbnail picture.
- Ensure the following attributes are toggled **ON**:

**Read Only**  
**Always Read Value from LDAP**  
**Is Binary Attribute**

- h) Click **Save**.
- 8. Click **Settings**.
- 9. Click **Synchronise all users** to import all Active Directory users into Identity and Access Management.

## Manual user configuration

1. From the left menu select **Users**.
2. Click **Add user**.
3. Type **user** for **User Name** and click **Save**.
4. Navigate to the **Credentials** page.
5. Type **user123** in the **New Password** and **Password Confirmation** fields.
6. Toggle **Temporary** to **OFF**.
7. Click **Reset Password**.
8. Select **Change Password** from the pop-up menu.

# Installing and Configuring the HAA Client

## System Requirements

### Operating systems, applications, and environments

Host Access Analyzer operates on PCs with the following operating systems, applications, and environments:

- Windows 7, 8.1, 10, and 11



**Note:** Windows 8.1 requires the Windows 8.1-KB3094199 update. If your machine requires the update, install the update, then restart your machine.

If you install the update on a machine where it is already installed, the following message appears:

The update is not applicable to your computer

### Prerequisite software

The following software is required for the Host Access Analyzer Client to install:

- Microsoft .NET Framework 4.5

If not already installed, Host Access Analyzer installs it automatically, if the installer is able to download the required files.

- Microsoft Visual C++ 2015-2019 Redistributable (x86 and/or x64)

If not already installed, Host Access Analyzer installs it automatically.

## Installation

The installer automatically installs a new service, Host Access Analyzer Service, which runs under the System account.

The Host Access Analyzer client installer for windows is a .exe file that allows both silent and wizard installation.

In silent installation mode, you can use a command line parameter to avoid starting the service automatically after installation. For example, to allow configuration modifications to be made first. In this case, the service either starts after the next reboot or can be started manually.

## Installing the service silently

Run `haa-client-installer-<ver>.exe` as an administrator.

### Command line parameters

`<haa-server-hostname>` The IP or host name of the HAA producer server.

`<haa-server-port>` The port of the HAA producer server.

`<silent>` Runs installation without user interaction with the installation progress window displayed.

`<verysilent>` Runs installation without user interaction, without the installation progress window displayed.

`<nostart>` Does not start the service automatically after installation.

`<norestart>` Does not restart Windows, even if the installer requires a system restart.

For more parameters, see <https://jrsoftware.org/ishelp/index.php?topic=setupcmdline>.

### Examples:

```
haa-client-installer-<ver>.exe /haa-server-hostname=10.10.13.102 /haa-server-port=6566 /silent /nostart
```

This installs silently with progress displayed with the provided hostname and port.

```
haa-client-installer-<ver>.exe /silent
```

This installs silently with progress displayed. If a restart is required, a restart message prompt is displayed at the end.

```
haa-client-installer-<ver>.exe /silent /norestart
```

This installs silently with progress displayed. No restart message prompt is displayed at the end, even if needed by the installer.

```
haa-client-installer-<ver>.exe /verysilent /norestart
```

This installs silently without progress displayed. No restart message prompt is displayed at the end, even if needed by the installer.

```
haa-client-installer-<ver>.exe /verysilent
```

This installs silently without progress displayed. If required, the system restarts automatically without a message prompt.

## Uninstalling the service silently

From the install location, run the following command:

```
unins000.exe /silent
```

## Installing the service using the wizard

Double-click the haa-client-installer-<ver>.exe file.

## Modifying configurations



**Note:** To change client configurations on all clients, refer to the HAA Portal Guide.

To modify individual client-side behavior manually (for example, for debugging purposes):

In the install location, edit the HostAccessAnalyzerService.exe.config file and set ConfigurationUpdaterFeature to false (to prevent overrides by the configuration stored on the server).

Edit the configuration files, followed by a service restart.

There are three separate configuration files, controlling server connectivity, service-wide configurations, and user-specific configurations.



**Note:**

Product configurations and logs can be found under the product configuration location at %ProgramData%\Micro Focus\HostAccessAnalyzer.

User configurations are located in the user's private dir, C:\Users\<user\_name>\AppData\Local\Micro Focus\HostAccessAnalyzer.

The default product installation location is %ProgramFiles(x86)%\Micro Focus\Host Access Analyzer.



**Note:** Any configuration change requires a service restart to take effect.

# Server configuration

The server configuration defines how the client connects to the server.

The HostAccessAnalyzerUserConfiguration.conf file in the [product configuration location](#) contains the default server configuration. The following shows an example configuration:

```
{
  "Host": "10.141.11.231",
  "Port": 6566,
  "Security": {
    "EnableSSL": true,
    "HostOverride": "haa",
    "CertificatePath": ""
  }
}
```

The host and port are assigned during installation. The connection is set to be secured by default. Otherwise, you need not change anything.

# Service configuration

The service configuration includes tasks for uploading scan results and monitoring TCP connections to specific hosts.

## Uploading scan results

The HostAccessAnalyzerUserConfiguration.conf file in the [product configuration location](#) contains the service configuration:

```
{
  "tasks": [
    {
      "cronSchedulerExpression": "0 0 2 * * ?",
      "offsetInHours": 3,
      "uploadImmediately": false,
      "taskConfiguration": {
        "@type": "type.googleapis.com/microfocus.tecom.rpc.api.management.MessagesUploaderTaskConfiguration"
      }
    },
    {
      "connectionMonitoring": {
        "enabled": true,
        "monitoredHosts": {},
        "connectionPollingInterval": 5
      },
      "tempMessagesStorageLocation": "%programdata%\Micro Focus\HostAccessAnalyzer\Requests"
    }
  ]
}
```

cronSchedulerExpression defines the task schedule:

This value ...	Starts the schedule ...
0	After 0 seconds.
0	After 0 minutes.
2	At 2 AM.
*	Every day.
*	Every month.

This value ...	Starts the schedule ...
?	Any year.

MessagesUploaderTaskConfiguration defines when to upload scan results to the server (see [User Configuration](#)). By default, the task runs at 2 AM each day, as defined by cronSchedulerExpression, then picks a random time from zero minutes to 3 hours (offsetInHours) and begins uploading. The aim is to reduce network load while uploading across the organization. You can modify this as desired.

 **Note:** If a task misses its schedule, for example, if a machine is offline, it runs automatically at the first available opportunity.

## Monitoring connections

HAA monitors all TCP connections to a set of defined hosts. The hosts list is configured at the machine level in the HostAccessAnalyzerServiceConfiguration.conf file in the [product configuration location](#).

To add hosts to the list, edit the file and apply your changes to the monitoredHosts entry. For example:

```
"connectionMonitoring": {
  "enabled": true,
  "monitoredHosts": {
    "Popeye": "*",
    "Olive": "23",
    "Bluto": "22, 23",
    "Wimpy": "20 - 30",
    "10.10.10.100": "*"
  },
  "connectionPollingInterval": 5
}
```

where:

This line ...	Means ...
"enabled": true,	Enable/disable all connection monitoring.
"Popeye": "*",	Monitor all ports of a host.
"Olive": "23",	Monitor a specific port of a host.
"Bluto": "22, 23",	Monitor a comma-separated list of ports of a host.
"Wimpy": "20 - 30",	Monitor a range of ports of a host.
"10.10.10.100": "*"	Monitor all ports of a host address.

### Notes:

- If a task misses its schedule, for example, if a machine is offline, it runs automatically at the first available opportunity.
- To turn off monitoring, you can either delete all hosts from this list or change the enabled setting to false.
- connectionPollingInterval is a setting that applies to how often, in seconds, monitoring TCP connections executes on Windows 7. It should be left unchanged unless a valid reason exists to the contrary.

## User configuration

User configuration defines which terminal emulator content should be collected for the specific user. Initially, for each user a copy of the default user configuration file, HostAccessAnalyzerUserConfiguration.conf is placed in the [user's private directory](#).

This is the default user configuration:

```
{
  "tasks": [
    {
      "cronSchedulerExpression": "0 0 12 2 * ?",
      "offsetInHours": 0,
      "uploadImmediately": false,
      "taskConfiguration": {
        "@type": "type.googleapis.com/microfocus.tecom.rpc.api.management.InstalledProductsTaskConfiguration"
      }
    },
    {
      "cronSchedulerExpression": "0 0 12 2 * ?",
      "offsetInHours": 0,
      "uploadImmediately": false,
      "taskConfiguration": {
        "@type": "type.googleapis.com/microfocus.tecom.rpc.api.management.FileSystemScanTaskConfiguration",
        "includesExpression": [
          "%localDrives%"
        ],
        "excludesExpression": [
          "%windir%",
          "%recycleBins%",
          "%networkDrives%",
          "***node_modules**"
        ],
        "maxFileSize": 50
      }
    }
  ],
  "pluginFamilies": [
    {
      "microfocus": {
        "product": "RUMBA"
      }
    },
    {
      "microfocus": {
        "product": "EXTRA"
      }
    },
    {
      "microfocus": {
        "product": "REFLECTION"
      }
    },
    {
      "microfocus": {
        "product": "INFOCONNECT"
      }
    },
    {
      "ibm": {
        "product": "PCOMM"
      }
    },
    {
      "microfocus": {
        "product": "RUMBA_FTP_CLIENT"
      }
    }
  ]
}
```

```

    },
    {
      "microfocus": {
        "product": "REFLECTION_FTP_CLIENT"
      }
    }
  ]
}

```

cronSchedulerExpression defines the task schedule:

This value ...	Starts the schedule ...
0	After 0 seconds.
0	After 0 minutes.
12	At noon.
2	On the 2nd of each month.
*	Every month.
?	Any year.

 **Note:** Host Access Analyzer currently covers Micro Focus (Rumba+ Desktop, Extra!, InfoConnect, and Reflection) and IBM (PComm) terminal emulator products.

By default, the user configuration file includes several sections:

- A periodic task to scan for installed terminal emulator products. This task is currently set to execute monthly (at noon on the 2nd of the month).
- A periodic task to scan for the terminal emulator files (workspaces, sessions, macros, keyboard maps, and toolbar definitions). All files are verified before being uploaded to the server.

This task is currently set to execute monthly (at noon on the 2nd of the month). To specify the search or exclude locations for this task:

- You must specify one or more locations, separated by commas.
- Escape explicit paths. For example,

C:\\Temp

- Ant Path format is supported. For example, C:\\\*\*\\Macros.
- You can use environment variables (inside %%), as well as these predefined tokens:

%localDrives%

%networkDrives%

- A list of the enabled terminal emulator products to monitor. For example, Rumba+ Desktop and Reflection. You can change the list to enable and disable monitoring of specific products.

 **Notes:**

- If a task has not run before, it runs automatically on launch.
- If a task misses its schedule, for example, if a machine is offline, it runs automatically at the first available opportunity.
- To run all scans again, delete the HostAccessAnalyzerTaskExecutions.conf file in the [product configuration location](#), and restart the service.

## Adding a custom configuration

In addition to using the provided user configuration file, you can create a custom plug-in configuration.

 **Note:** Custom configurations collect the static data from end user machines, such as emulator installations and their resource files, but do not collect data from emulator processes.

1. In the HAA portal , click **User Configuration** from the side panel.
2. Select **User configuration** from the drop-down list.
3. At the bottom of the user configuration file, under pluginFamilies, add the custom product entry. For example:

```
{
  "generic": {
    "vendorName": "MyVendor",
    "productName": "BlueZone"
  }
}
```

 **Note:** productName must be unique.

4. Click **SAVE**. A message appears telling you that the custom emulator has been created and that you should now complete its plug-in definitions.

When you click **SAVE**, a new default plug-in configuration is created on the server side:

```
{
  "family": {
    "generic": {
      "vendorName": "MyVendor",
      "productName": "BlueZone"
    }
  },
  "pluginDefinition": {
    "productFilters": [
    ],
    "extensions": [
    ],
    "processes": [
    ]
  }
}
```

5. Click **OK**.
6. In the new configuration file, complete the product filters and the extensions that the product should collect. For example:

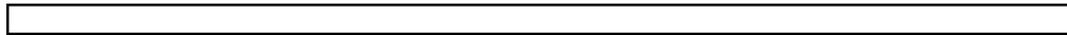
```
{
  "family": {
    "generic": {
      "vendorName": "MyVendor",
      "productName": "BlueZone"
    }
  },
  "pluginDefinition": {
    "productFilters": [
      {
        "vendorNameRegEx": [
          "(?i)(Rocket)"
        ],
        "productNameRegEx": [
          "(?i)(.*)?BlueZone!(.*)?"
        ],
        "versionRegEx": [],
        "addon": false
      }
    ],
    "extensions": [

```

```
{
  "ext": "vb2",
  "resourceType": "MACRO",
  "trueVerifier": {}
},
"processes": [
]
}
```

7. Click **SAVE**.

The new configuration is propagated to end users at the time specified in the Service configuration file in MessagesUploaderTaskConfiguration cronSchedulerExpression.



## Working Offline

If you want to configure clients that do not upload directly to the server, go through the following steps:

1. If needed, set the server IP address in HostAccessAnalyzerServerConfiguration.conf to 127.0.0.1, then restart the service.
2. Work normally. Uploads remain on disk in the Requests folder in the Pg. 6 Add a link to the [product configuration location](#).
3. When you want to upload, stop the service and move all content from the Requests folder to a connected client's Requests folder.
4. Edit the connected client's HostAccessAnalyzerTaskExecution.conf file and delete the MessagesUploaderTask block so the upload task executes immediately.
5. Restart the service.

# Using the HAA Portal

## Introducing the Host Access Analyzer Web Portal

The Host Access Analyzer (HAA) web portal shows you inventory statistics on the assets, users, and machines installed in your enterprise.

## Supported browsers

Supported browsers for the HAA web portal are:

- Chrome Version 78 and later
- Firefox Version 70 and later

## Portal timeout

After 30 minutes of inactivity, the HAA portal logs out automatically.

60 seconds before the portal logs out, a dialog box appears, warning you of the logout. To close the dialog box and return to the portal session, click **Stay Logged In**.

## Portal Dashboards

The HAA portal dashboards contain a number of visualizations that show the current state of the various elements in your enterprise. Here you can view and customize the presented data. You can also filter the data in some visualizations

## Defining users and devices

The **Monitored Users** and **Monitored Devices** visualizations on the default dashboard show the progress of the enrollment of user and devices in your enterprise. You can define the total number of users and devices. To do this:

1. Click the ellipsis in the top right corner of the visualization.
2. Select **Configure total users** or **Configure total devices** as appropriate.

The **Configure total** dialog box appears.

3. Type the number you want in the **Total** field, then click **Save**.

The visualization shows the percentage of enrolled users, devices, or both.

## Customizing a dashboard

You can customize a dashboard and its visualizations. To do this:

1. Click the ellipsis in the upper-right corner of the current dashboard and select **Edit** from the pop-up menu.

A new browser instance opens showing the dashboard in edit mode.

2. Click the wheel icon in the upper right corner of a visualization to open the **OPTIONS** menu.
3. Select the appropriate option for the task you want to perform.
4. After you have finished editing, click **Save** on the toolbar, then go back to the main portal browser window.

To revert to the default view, click the ellipsis in the top right of the dashboard, then select **Restore to factory version** from the pop-up menu.

## Creating your own dashboard

You can create your own dashboard either by using the current dashboard as a template or by starting from an empty dashboard.

1. Select a dashboard from the dashboard drop-down.
2. Select **Add dashboard > + Create New** from the dashboard drop-down.  
The **Create New Dashboard** dialog box appears.
3. Type a name for the dashboard and select either:
  - **Use current Dashboard as template**
  - **Empty Dashboard**
4. Click **CREATE**. The new dashboard opens.
5. Click the ellipsis in the upper-right corner of the current dashboard and select **Edit** from the pop-up menu.  
A new browser instance opens showing the dashboard in edit mode.
6. Make your changes to the dashboard.
7. After you have finished editing, click **Save** on the toolbar, then go back to the main portal browser window.

## Customizing the time range

You can specify the time range for some visualizations.

1. Click the ellipsis in the upper-right corner of a visualization, then select **Customize time range**.  
The **Customize panel time range** dialog box appears.
2. Select the time range you want.
3. Click **Add to panel**.  
A label is added to the visualization showing the selected time range.
4. To reset the time range to the default, click the label.  
The **Customize panel time range** dialog box appears.
5. Click **Remove**.

## Persisting a filter for all dashboards

You can select a filter to use as a global filter that persists across all dashboards. To persist a selected filter for all dashboards:

1. Select the filter you want to use.
2. Select **Pin across all apps** from the drop-down list.

You can now navigate between the dashboards and the pinned filter appears on each dashboard.

3. To remove persistence, select the filter again and select **Unpin** from the drop-down list.

## Exporting dashboard data

You can export aggregated and raw data from each visualization.

### Exporting aggregated data

1. Click the ellipsis in the upper right of a visualization.
2. Click **Inspect** from the pop-up menu.

A dialog box appears where you can save the data by exporting it as a .csv file.

3. Specify a name for the file and save it.

### Exporting raw data

1. Click the ellipsis in the upper right of a visualization.
2. Click **Explore underlying data** from the pop-up menu.

The **Explore Data** window opens.

3. Hover your mouse over the data list panel, then click the ellipsis in the upper right corner of the panel.
4. Select **Export data** from the **OPTIONS** menu. A notification appears showing the request has been queued. When the file is ready, another notification appears providing a download link for the file. You can also see the data by clicking the **Exported Data** icon on the side panel.

## Use case

### Exporting a list of Excel files by the repetitions of the embedded VBA scripts

1. Open the **Excel Files** dashboard.
2. Apply any required filters to the visualizations.
3. Export the data to a .CSV file.
  - a) Click the ellipsis in the upper right of a visualization.
  - b) Click **Explore underlying data** from the pop-up menu.
  - c) Hover your mouse over the data list panel, then click the ellipsis in the upper right corner of the panel.
  - d) Select **Export data** from the **OPTIONS** menu. A notification appears showing the request has been queued. When the file is ready, another notification appears providing a download link for the file. You can also see the data by clicking the **Exported Data** icon on the side panel.
4. Open the .CSV file in Excel.

Note the E column called **resource.uniqueId**. This column provide unique ID for the VBA script embedded in the file. Two files that share the same **resource.uniqueId** - share the same VBA script.

Use the **resource.uniqueId** column and the excel COUNTIF function to generate new column.

5. Add a new column with the title **Rank**.
6. Insert the formula =COUNTIF(\$E\$2:\$E\$<last-row-index>,E2).
7. Replace <last-row-index> with the index of the last row of the data in the exported data csv. The value will be the number of occurrences of the embedded script of file from row 2.
8. Copy the same formula over the range F2:F<last-row-index>.
9. Sort the **Rank** column.

## Importing dashboards

1. From the dashboard drop-down, select **Add dashboard > Import**.
2. In the **Import Dashboards** dialog box, click **Select or drag and drop a file**.
3. In the **Open** window, select the dashboard file you want, then click **Open**.
4. In the **Import Dashboards** dialog box, click **Import**.

The dashboard is imported and replaces the previous dashboard view.



**Note:** The dashboard file is created from the **Kibana Saved Objects** page.

## Modifying client configuration files

You can edit the following client configuration files:

- Service configuration
- User configuration
- Configuration files for any installed plug-ins

To edit a configuration file:

1. From the side panel, select **Settings > Client Configuration**.

The **Client Configuration** page appears.

2. Select the configuration file you want to edit from the drop-down list.

For information about configuration settings, see [Installing and Configuring the HAA Client](#).

3. After editing the configuration with the embedded JSON editor, click **SAVE**.

The modified configuration is updated on the server and is applied when the client updater service is run by the scheduler. By default, the scheduler runs every 60 minutes.

## Side panel

The side panel contains the following elements:

Select this ...	To do this ...
<b>Dashboards</b>	Display the portal dashboards.
<b>Exported Data</b>	View data exported from dashboard visualizations.
<b>Client Configuration</b>	Modify the HAA client configuration files.

## Menu options

There are two menu icons in the top right of the HAA portal:

Select this ...	To do this ...
<b>Schema</b>	View the documentation of the schema to see the structure of the collected information. Use as a cheat-sheet when investigating the raw data of visualizations, applying custom filters, or developing new visualizations.

**Select this ...**

**To do this ...**

**Log out**

Log out of the HAA portal.

**Help**

View the online Help and About information.

# Contacting Micro Focus

Our Web site gives up-to-date details of contact numbers and addresses.

## Further information and product support

Additional technical information or advice is available from several sources.

The product support pages contain a considerable amount of additional information, such as:

- The *Product Updates* section of the Micro Focus Customer Care Web site, where you can download fixes and documentation updates.
- The *Examples and Utilities* section of the Micro Focus Customer Care Web site, including demos and additional product documentation.
- The *Support Resources* section of the Micro Focus Customer Care Web site, that includes troubleshooting guides and information about how to raise an incident.

To connect, enter <https://www.microfocus.com/en-us/support> in your browser.



**Note:** Some information may be available only to customers who have maintenance agreements.

If you obtained this product directly from Micro Focus, contact us as described on the Micro Focus Web site, [www.microfocus.com](http://www.microfocus.com). If you obtained the product from another source, such as an authorized distributor, contact them for help first. If they are unable to help, contact us.

Also, visit:

- The Micro Focus Community Web site, where you can browse the Knowledge Base, read articles and blogs, find demonstration programs and examples, and discuss this product with other users and Micro Focus specialists.
- The Micro Focus YouTube channel for videos related to your product. .

## Information we need

However you contact us, please try to include the information below, if you have it. The more information you can give, the better Micro Focus Customer Support can help you. But if you don't know all the answers, or you think some are irrelevant to your problem, please give whatever information you have.

- The name and version number of all products that you think might be causing a problem.
- Your computer make and model.
- Your operating system version number and details of any networking software you are using.
- The amount of memory in your computer.
- The relevant page reference or section in the documentation.
- Your serial number. To find out this number, look in the subject line and body of your Electronic Product Delivery Notice email that you received from Micro Focus.

## Contact information

Our Web site gives up-to-date details of contact numbers and addresses.

Additional technical information or advice is available from several sources.

The product support pages contain considerable additional information, including the *Product Updates* section of the Micro Focus Customer Care Web site, where you can download fixes and documentation updates. Go to [Micro Focus Product Updates](#).

To connect, enter <https://www.microfocus.com/en-us/home/> in your browser to go to the Micro Focus home page, then click **Support & Services > Support**. Type or select the product you require from the product selection dropdown, and then click **Support Login**.

If you are a Micro Focus Customer Care customer, please see the *Welcome to Customer Care* document that includes information about downloading and licensing your product, contacting Customer Care, and about reporting an incident. You can download it from our Web site. Support from Micro Focus may be available only to customers who have maintenance agreements.

# MICRO FOCUS END USER LICENSE AGREEMENT

IMPORTANT: LICENSOR PROVIDES LICENSED SOFTWARE TO LICENSEE UNDER THIS END USER LICENSE AGREEMENT (THE “AGREEMENT”). THIS AGREEMENT GOVERNS LICENSEE’S INSTALLATION AND USE OF THE VERSION OF THE LICENSED SOFTWARE IDENTIFIED IN THE APPLICABLE PRODUCT ORDER, OR IF NOT ACQUIRED VIA A PRODUCT ORDER, LICENSEE’S INSTALLATION OR USE OF THE LICENSED SOFTWARE CONSTITUTES ACCEPTANCE OF THIS AGREEMENT. **THE TERMS AND CONDITIONS OF THIS AGREEMENT MAY BE DIFFERENT FROM THE AGREEMENT(S) THAT ACCOMPANIED EARLIER RELEASES OF THE LICENSED SOFTWARE. PLEASE READ THIS AGREEMENT CAREFULLY BEFORE PROCEEDING, AS IT MAY CONTAIN ADDITIONAL RESTRICTIONS ON YOUR USE OF THE SOFTWARE. THIS AGREEMENT SUPERSEDES AND CONTROLS OVER ANY OTHER TERMS PROVIDED TO LICENSEE REGARDING LICENSEE’S USE OF THE LICENSED SOFTWARE, WHETHER WRITTEN OR ORAL, AS PART OF A SIGNED AGREEMENT (INCLUDING, BUT NOT LIMITED TO, MASTER AGREEMENTS AND PORTFOLIO TERMS, UNLESS A DIFFERENT AGREEMENT IS EXPRESSLY REFERENCED IN A PRODUCT ORDER OR EXECUTED BY LICENSOR AND LICENSEE SPECIFYING THAT IT APPLIES TO THE VERSION OF THE LICENSED SOFTWARE TO WHICH THIS AGREEMENT RELATES), A CLICK-WRAP AGREEMENT PROVIDED WITH THE LICENSED SOFTWARE OR OTHERWISE (SUCH TERMS REFERRED TO AS THE “OTHER AGREEMENT”), EVEN IF SUCH OTHER AGREEMENT WAS EMBEDDED WITHIN PREVIOUSLY LICENSED SOFTWARE.** LICENSOR RESERVES THE RIGHT TO UPDATE, AMEND, AND/OR MODIFY THIS AGREEMENT FROM TIME TO TIME, AND MAY INCLUDE SUCH UPDATED AGREEMENT WITH OR EMBEDDED IN FUTURE VERSIONS OF THE LICENSED SOFTWARE. PLEASE DIRECT ANY QUESTIONS TO THE MICRO FOCUS LEGAL DEPARTMENT AT [LEGALDEPT@MICROFOCUS.COM](mailto:LEGALDEPT@MICROFOCUS.COM).

ENTERING INTO THIS AGREEMENT DOES NOT CONSTITUTE A SALES TRANSACTION. THE SALE OF A LICENSE TO SOFTWARE PRODUCTS TAKES PLACE UNDER PRODUCT ORDERS WHICH (UNLESS OTHERWISE STATED IN THE PRODUCT ORDER) INCORPORATE THE TERMS OF THIS AGREEMENT.

Capitalized terms in this Agreement are defined as follows:

**“Additional License Authorization”** or **“ALA”** means the additional specific software license terms that govern the authorized use of a given software product of Licensor, including requirements for any non-production use rights described in the Non-Production Licensing Guide, and License Options available for that software product, along with additional terms or conditions applicable to a given License Option, all of which are made a part of this Agreement. The applicable Additional License Authorization for the Licensed Software is either attached hereto or can be found here: <https://software.microfocus.com/en-us/about/software-licensing> by product name and version; all references in this Agreement to “Additional License Authorization” or “ALA” shall refer to the ALA that corresponds to the version of the Licensed Software.

**“Documentation”** means the Licensor user documentation that is included with the Licensed Software.

**“Licensee”** means the legal entity or individual that is identified in the applicable Product Order or who has rightfully received a license to the Licensed Product.

**“License Option”** means the type of license available for a given software product (such as a named user license, concurrent user license or server license). In addition to the ALA, a License Option may be set forth in a Product Order or an agreement executed in writing by Licensee and Licensor.

**“Licensor”** means the applicable Micro Focus entity and its affiliates who own the intellectual property rights in Licensed Product.

**“Licensed Software”** means the executable version of Licensor’s software listed in the Product Order or otherwise provided to or rightfully acquired by Licensee. This Agreement shall govern the use of any update to the Licensed Software that Licensee receives pursuant to a separate support and maintenance agreement as described in Section 4 below, unless such update contains, comes with, or is otherwise specifically governed by a different end user license agreement.

**“Product Order”** means an agreement between Licensor and Licensee that consists of a document that has been (i) submitted by Licensee describing the License Option(s) to be purchased for the Licensed Software, and (ii) accepted by Licensor (a) in writing or (b) by delivering the Licensed Software to Licensee, whichever occurs first. A Product Order may also consist of a written quote, or other written document issued by Licensor (the “Quote”), (i) describing the License Options for the Licensed Software to be purchased, and (ii) that is accepted by Licensee before the Quote expires either by (a) returning the Quote signed by an authorized representative of Licensee, (b) issuing a purchase order that references the Quote (if the Quote expressly allows acceptance in this manner), or (c) paying Licensor the fees listed in the Quote. Unless otherwise expressly set forth in the relevant Product Order, each Product Order incorporates the terms and conditions of this Agreement, and in no event will any different or additional terms of a purchase order or similar document issued by Licensee in connection with this Agreement or a Product Order apply, and any such additional or different terms are hereby rejected by the Licensor. For purposes of this paragraph, “Licensor” shall also include the relevant Micro Focus entity as defined above and its authorized distributors and resellers. Any conflicting or additional terms in a Product Order accepted by an authorized distributor or reseller of Licensor shall have no effect unless such terms have been agreed to by the applicable Micro Focus entity in writing.

**“Third Party Component”** means any run time or other elements owned or licensed to Licensor by a third party (other than open source code or elements) which may be embedded in the Licensed Software.

**“Third Party Software”** means additional or accompanying software owned or licensed by a third party (such as Adobe Acrobat or Microsoft Internet Explorer, but not any open source code or elements) that may be specified in the Documentation or in a file accompanying such Licensed Software.

**“Warranty Period”** means the ninety (90) day time frame beginning on date of delivery of the Licensed Software to Licensee (Licensed Software delivery is deemed to occur when the Licensed Software is physically delivered to Licensee EXWORKS or made available for download to Licensee).

## **1. GRANT OF LICENSE; LICENSE CONDITIONS.**

A. **License Grant.** Subject to Licensee’s compliance with the terms and conditions of this Agreement (including but not limited to payment of applicable fees), Licensor grants to Licensee a personal, non-transferable, non-sublicensable and non-exclusive license to use the Licensed Software as authorized by the License Option(s) specified in the ALA solely for Licensee’s internal business operations, functions and benefit, and not for commercialization of the Licensed Software or to provide services or benefit to any affiliates or subsidiaries of Licensee or any other third party. Throughout the license term, Licensee agrees to: (i) implement internal safeguards to prevent any unauthorized copying, distribution, installation, use of, or access to, the Licensed Products and associated support and maintenance, or any other breach of this Agreement; and (ii) take all necessary steps to destroy or erase all Licensed Software codes, programs, Documentation, and other proprietary information of Licensor before disposing of any media or hardware. Licensor will provide any license key necessary for activation and use of the Licensed Software. Licensor is not liable or responsible for lost or broken license keys and is not obligated to replace license keys or issue new license keys unless (1) Licensee has purchased a support and maintenance plan for the applicable Licensed Software that specifically covers the issuance of new or replacement keys and (2) the applicable version of the Licensed Software is then generally available for distribution by Licensor. If Licensee has not paid for such a support and maintenance plan, replacement or new license keys may be available for purchase at Licensor’s then-current list price for applicable new licenses.

B. **Evaluation Licenses.** With respect to any Licensed Software provided to Licensee solely for evaluation purposes (an “Evaluation License”), in the event of conflict this Section 1.B shall prevail over any other provisions set forth in this Agreement. An Evaluation License may be used for a period of no more than thirty (30) days from the date the Licensed Software is provided to Licensee (“Evaluation Term”), unless a different period is specified in writing by Licensor. An Evaluation License may be used

solely for Licensee's internal evaluation and testing purposes on a single computer system and not for development, commercial, or production purposes. For Licensed Software subject to an Evaluation License, (i) Licensee may not reproduce or distribute the Licensed Products; and (ii) Licensee's results of benchmark or other performance tests run on or using the Licensed Software may not be disclosed to any third party without Licensor's prior written consent. At any time during the Evaluation Term or upon completion thereof, Licensee may, upon written notification to Licensor and payment of the applicable license fee, replace the Evaluation License with a license to use the Licensed Software that is not restricted to evaluation purposes. In the absence of such notification by Licensee, the Evaluation License shall automatically terminate at the end of the Evaluation Term, and Licensee shall return, or, if Licensor so directs, delete and destroy all such Licensed Software and provide Licensor with written confirmation of its compliance with this provision. Upon written request from Licensee, Licensor may, in its sole discretion, grant Licensee an extension in writing prior to the expiration of the Evaluation Term. Other than updates to Licensed Software provided as part of support and maintenance, Licensed Software provided by Licensor free of license fee charge shall be deemed to be provided for evaluation purposes only. Licensed Software furnished under an Evaluation License is provided without any contractual obligation of maintenance and support by Licensor and is provided "as is" without warranties, implied or express, of any kind.

C. **Bundles/Suites.** If the Licensed Software is licensed in a bundle or suite of multiple products, and the applicable Product Order specifies the License Option and license count for the bundle or suite (but not the individual product components of the bundle or suite), then each product in the bundle or suite shall share such license type and count. For example, individual products in the bundle or suite cannot be used by multiple users if only one user license is purchased (for user-based licenses) and cannot be installed on multiple devices or servers if only one device or server license is purchased (for device or server-based licenses).

**2. USE RESTRICTIONS.** Except as may be otherwise specifically permitted in the applicable ALA, Licensee agrees not to, directly or indirectly:

A. Copy, distribute or use the Licensed Software, in whole or in part (such as any portion, feature, function, or user interface) without paying Licensor the applicable fees;

B. Use the Licensed Software as a service, or for timesharing, facilities management, outsourcing, hosting, service bureau use, or for providing other application service (ASP) or data processing services to third parties or for like purposes, or permit the use of the Licensed Software by a third party or permit access by or use for the benefit of any third party without executing a separate distribution agreement for the Licensed Software and paying Licensor the applicable required additional fees;

C. Modify or create derivative works of the Licensed Software, or decrypt, translate, disassemble, recompile, decompile or reverse engineer the Licensed Software or attempt to do so (except to the extent applicable law specifically permits such activity, in which case Licensee must provide Licensor with detailed information regarding such activities);

D. Alter, destroy, or otherwise remove any proprietary notices or labels on or embedded within the Licensed Software;

E. Use the Licensed Software in a manner other than as specifically permitted in this Agreement or an ALA;

F. Assign, sell, resell, license, rent, lease, lend, sublicense, outsource or otherwise transfer the Licensed Software to any third party, without first paying Licensor the applicable required license fees and obtaining Licensor's prior written consent;

G. Authorize, allow or appoint any third party to do any of the foregoing. For the avoidance of doubt, third parties include, without limitation, contractors and consultants (including contractors and consultants retained to provide services solely for the benefit of Licensee), outsourcers, Licensee's affiliates and subsidiaries, parent companies, customers, and the public; or

H. Publish or disclose to third parties any evaluation or benchmarking of the Licensed Software without Licensor's prior written consent. Notwithstanding the foregoing, Licensee may: (i) make a reasonable number of archival back-up copies of the Licensed Software and (ii) make a reasonable number of

copies of the Documentation. Licensee shall reproduce all copyright and other proprietary rights notices appearing in or on the Licensed Products, including notices of all third party suppliers.

- 3. TERM OF LICENSE.** This Agreement and the license term for the Licensed Software granted herein is perpetual, unless a subscription/term license has been purchased by Licensee (in which case the license term shall be set forth in the Product Order or ALA), and is subject to earlier termination as provided in this Section 3. If Licensee has purchased a subscription/term license, such license shall automatically terminate upon expiry of such subscription/term, unless earlier terminated under this Section 3. Licensor may terminate this Agreement, along with any or all licenses then in effect with Licensee, immediately by giving Licensee written notice of termination in the event that (i) Licensee breaches any term or condition of this Agreement and fails to remedy such breach within ten (10) days of receipt of Licensor's notice detailing such breach; (ii) Licensee becomes insolvent, has a receiver appointed, or files for or has filed against it, liquidation, bankruptcy or analogous proceedings; or (iii) Licensee infringes or misappropriates the intellectual property rights of Licensor. Termination shall be without prejudice to any other rights or remedies Licensor may have. In the event of any termination, Licensee's license(s) to install, access or use the Licensed Software will immediately terminate, and Licensee shall destroy and erase all copies of such Licensed Software in its possession or control and provide written certification to Licensor that it has complied with this provision. Early termination of this Agreement shall not entitle Licensee to any refund or reimbursement of any previously paid fees. The rights and obligations of the parties contained in Sections 3 (Term of License), 6 (Disclaimer of Warranty), 7 (Limitation of Liability), 8 (High Risk Uses), 9 (Ownership), 10 (Third Party Software and Components), 11 (Notice to U.S. Government End Users), 12 (License Fees and Payment Terms), 13 (Audits), 15 (Privacy and Use of Licensee Information), 16 (Licensee Trademark and Feedback) and 17 (Miscellaneous) will survive the termination or expiration of this Agreement.
- 4. SUPPORT AND MAINTENANCE.** Licensee is not entitled to any updates to the Licensed Software, unless Licensee purchases maintenance and support services pursuant to Licensor's then-current applicable standard maintenance and support agreement, which can be found at <https://www.microfocus.com/support-and-services/maintenance-and-support-agreements/> or can be provided by Licensor at Licensee's request. Maintenance and support services (including, but not limited to, any new versions, bug fixes, and patches) provided by Licensor will be subject to such agreement. Where Licensee purchases maintenance and support for any Licensed Software, Licensee hereby agrees to purchase or keep current on such maintenance and support services for all of Licensee's licensed units of such Licensed Software product, regardless of License Option.
- 5. LIMITED WARRANTY.** Licensor warrants for the Warranty Period that: (i) if the Licensed Software is supplied via media, the media will be free from defects in materials or workmanship under normal use, and (ii) the copy of the Licensed Software delivered to Licensee substantially conforms in all material respects to the Documentation. Licensee's sole and exclusive remedy for any defective media supplied by Licensor shall be Licensor's repair or replacement of such defective media free of charge, provided that the defective media is returned to Licensor during the Warranty Period. During the Warranty Period, Licensee's sole and exclusive remedy for not meeting part (ii) of the above warranty shall be the repair or replacement of the Licensed Software by Licensor free of charge so that it substantially conforms to the Documentation or, if Licensor reasonably determines that such remedy is not economically or technically feasible, Licensee shall be entitled to a full refund of the license fee and any maintenance fee paid for such Licensed Software. Upon such refund, Licensee's license to use such Licensed Software will immediately terminate. The warranties set forth in this Section 5 shall not apply if the defects in the Licensed Software or media result from: (a) failure to use the Licensed Software in accordance with the Documentation, this Agreement or ALAs; (b) the malfunctioning of Licensee's equipment or network; (c) accident, neglect, or abuse; (d) service by any unauthorized person; (e) other software used by Licensee and not provided by Licensor, or for which the Licensed Software is not designed or licensed for such use; (f) Third Party Software that is not a Third Party Component; (g) any other cause occurring after initial delivery of the Licensed Software or media to Licensee, unless caused directly by Licensor. Licensor has no responsibility for any claims made outside of the Warranty Period. The foregoing warranty shall not apply to any free-of-charge Licensed Software or updates provided under support and maintenance. THE FOREGOING WARRANTIES DO NOT APPLY, AND LICENSOR DISCLAIMS ALL WARRANTIES, WITH RESPECT TO ANY THIRD PARTY SOFTWARE THAT IS NOT A THIRD PARTY COMPONENT. The warranties set forth in this Section 5 will not apply and will become null and void if Licensee materially breaches any provision of this Agreement.

**6. DISCLAIMER OF WARRANTY.** EXCEPT FOR THE LIMITED WARRANTY SET FORTH IN SECTION 5, THE LICENSED PRODUCTS ARE PROVIDED TO LICENSEE “AS-IS” WITHOUT WARRANTY OF ANY KIND. LICENSOR DOES NOT WARRANT THAT THE FUNCTIONS CONTAINED IN THE LICENSED SOFTWARE WILL MEET LICENSEE’S REQUIREMENTS, THAT OPERATION WILL BE UNINTERRUPTED, ACHIEVE ANY INTENDED RESULT, BE COMPATIBLE, OR WORK IN COMBINATION WITH ANY OTHER SOFTWARE, APPLICATIONS, OR SYSTEMS, MEET ANY PERFORMANCE OR RELIABILITY STANDARDS, OR BE ERROR FREE, OR THAT ANY ERRORS OR DEFECTS CAN OR WILL BE CORRECTED. EXCEPT AS SET FORTH HEREIN AND TO THE EXTENT PERMITTED BY LAW, ALL OTHER WARRANTIES WITH RESPECT TO THE LICENSED PRODUCTS, WHETHER EXPRESS OR IMPLIED, STATUTORY OR OTHERWISE, INCLUDING, BUT NOT LIMITED TO, IMPLIED WARRANTIES OF MERCHANTABILITY, QUALITY, FITNESS FOR A PARTICULAR PURPOSE, TITLE, NON-INFRINGEMENT, AND WARRANTIES THAT MAY ARISE OUT OF COURSE OF DEALING, COURSE OF PERFORMANCE, USAGE, OR TRADE PRACTICE ARE EXPRESSLY DISCLAIMED BY LICENSOR AND ITS THIRD-PARTY SUPPLIERS AND AFFILIATES. LICENSEE ACKNOWLEDGES THAT LICENSEE IS RESPONSIBLE FOR THE SELECTION OF THE LICENSED SOFTWARE TO ACHIEVE LICENSEE’S INTENDED RESULTS AND FOR THE INSTALLATION AND/OR USE OF, AND RESULTS OBTAINED FROM, THE LICENSED SOFTWARE.

**7. LIMITATION OF LIABILITY.**

A. Aggregate Cap. IN NO EVENT SHALL ANY LIABILITY OF LICENSOR OR ITS AFFILIATES OR ANY OF ITS OR THEIR RESPECTIVE LICENSORS OR SERVICE PROVIDERS UNDER OR IN CONNECTION WITH THIS AGREEMENT EXCEED, IN THE AGGREGATE, THE AMOUNTS PAID BY LICENSEE FOR THE LICENSED SOFTWARE AND THE INITIAL PERIOD OF MAINTENANCE AND SUPPORT GIVING RISE TO THE APPLICABLE CLAIM.

B. Waiver of Liability. IN NO EVENT SHALL LICENSOR OR ITS AFFILIATES OR ANY OF ITS OR THEIR RESPECTIVE LICENSORS OR SERVICE PROVIDERS BE LIABLE FOR ANY INDIRECT, SPECIAL, INCIDENTAL, CONSEQUENTIAL, PUNITIVE OR SIMILAR DAMAGES, LOSS OF PROFITS, BUSINESS, DATA, OR PROGRAMS (INCLUDING, BUT NOT LIMITED TO, THE COST OF RECOVERING OR REPLACING SUCH DATA OR PROGRAMS), LOSS, DAMAGE OR ANY COSTS DUE TO INTERRUPTION, DELAY, OR INABILITY TO USE THE LICENSED SOFTWARE, WHETHER ARISING OUT OF OR IN CONNECTION WITH THIS AGREEMENT, EVEN IF INFORMED OF THE POSSIBILITY OF SUCH DAMAGES IN ADVANCE.

C. Scope. THE LIMITATIONS AND EXCLUSIONS OF THIS SECTION 7 APPLY TO ALL CAUSES OF ACTION, INCLUDING, WITHOUT LIMITATION, BREACH OF CONTRACT, BREACH OF WARRANTY, NEGLIGENCE, STRICT LIABILITY, MISREPRESENTATION AND OTHER TORTS. THESE LIMITATIONS AND EXCLUSIONS APPLY COLLECTIVELY TO LICENSOR, ITS PARENTS, AFFILIATES, AND SUBSIDIARIES AND EACH OF THEIR RESPECTIVE EMPLOYEES, CONTRACTORS, AND SUPPLIERS. NOTWITHSTANDING THE FOREGOING, NOTHING IN THIS SECTION 7 EXCLUDES LIABILITY FOR WILLFUL MISCONDUCT OR FRAUDULENT MISREPRESENTATION.

D. Exclusive Remedy. LICENSEE’S REMEDIES IN THIS AGREEMENT ARE LICENSEE’S EXCLUSIVE REMEDIES. LICENSEE AGREES THAT, IN ENTERING INTO THIS AGREEMENT, IT DID NOT RELY ON ANY REPRESENTATIONS (WHETHER WRITTEN OR ORAL) OF ANY KIND OTHER THAN THOSE EXPRESSLY SET OUT IN THIS AGREEMENT.

E. Essential Purpose. LICENSEE FURTHER ACKNOWLEDGES THAT THE LIMITATIONS AND EXCLUSIONS OF LIABILITY IN THIS SECTION 7 APPLY TO THE FULLEST EXTENT PERMITTED BY LAW AND ARE AN ESSENTIAL ELEMENT OF THIS AGREEMENT AND THAT, IN THE ABSENCE OF SUCH LIMITATIONS AND EXCLUSIONS, THE PRICING AND OTHER TERMS AND CONDITIONS SET FORTH HEREIN WOULD BE SUBSTANTIALLY DIFFERENT. THE LIMITATIONS AND EXCLUSIONS SET FORTH IN THIS SECTION 7 SHALL APPLY EVEN IF THE LICENSEE’S REMEDIES UNDER THIS AGREEMENT FAIL OF THEIR ESSENTIAL PURPOSE.

F. Free Software. IF LICENSOR PROVIDES LICENSEE WITH ANY LICENSED SOFTWARE FREE-OF-CHARGE OR UNDER AN EVALUATION LICENSE, TO THE EXTENT PERMITTED BY LAW, LICENSOR SHALL NOT BE RESPONSIBLE FOR ANY LOSS OR DAMAGE TO LICENSEE, ITS

CUSTOMERS, OR ANY THIRD PARTIES CAUSED BY THE LICENSED SOFTWARE THAT IT MAKES AVAILABLE TO LICENSEE.

- 8. HIGH-RISK USES.** The Licensed Software is not fault tolerant, nor designed, manufactured, or intended for use in hazardous environments requiring fail-safe performance (including, without limitation, the operation of nuclear facilities, aircraft navigation or communication systems, air traffic control, direct life support machines, or weapons systems) in which failure of the Licensed Software could lead directly or indirectly to death, personal injury, or severe physical or environmental damage. Licensor and its suppliers shall have no liability for any use of the Licensed Software in any high-risk situations.
- 9. OWNERSHIP.** The Licensed Products are provided under license, and not sold, to Licensee. The only rights in the Licensed Products are the licenses expressly stated in this Agreement and no other rights are implied or granted by estoppel. Licensor (and its affiliates) and its and their licensors and third party suppliers retain ownership of, and reserve all rights in and to, the Licensed Products, including all copies thereof, and all intellectual property rights arising out of or relating to the Licensed Products. Licensee shall use reasonable efforts to safeguard the Licensed Products (including all copies thereof) from infringement, misappropriation, theft, misuse, or unauthorized access. Licensee shall promptly notify Licensor if it becomes aware of any infringement or misappropriation of the Licensed Products and shall fully cooperate with Licensor, at Licensor's expense, in any legal action taken by Licensor to enforce its intellectual property rights.
- 10. THIRD PARTY SOFTWARE AND COMPONENTS.** The Licensed Software may come with or require Third Party Software that Licensee shall license directly from the third party licensor pursuant to such third party's terms and conditions and not this Agreement. Additionally, some Licensed Software may include certain Third Party Components and open source software. Such open source software and Third Party Components may also be loaded on the Licensed Software media. Third Party Components are licensed to Licensee under this Agreement; open source software is licensed pursuant to the applicable open source license. To the extent applicable, information about the open source software may be found (i) in a file accompanying the applicable Licensed Software or (ii) in the Documentation or ALA. Licensee shall not directly access any Third Party Components other than with or as part of the Licensed Software. Licensee agrees that to the extent required by a third party licensor or supplier of a Third Party Component, that third party licensor or supplier is an intended third party beneficiary of this Agreement as necessary to protect intellectual property rights in the Licensed Software and limit certain uses thereof.
- 11. NOTICE TO U.S. GOVERNMENT END USERS.** The Licensed Products are deemed to be "Commercial Items," as defined at 48 C.F.R. §2.101, consisting of "Commercial Computer Software" and "Commercial Computer Software Documentation," as such terms are used in 48 C.F.R. §12.212 or 48 C.F.R. §227.7202, as applicable. Consistent with such sections, the Licensed Products are licensed to U.S. Government end users (i) only as Commercial Items, and (ii) with only those rights as are granted pursuant to this Agreement.
- 12. LICENSE FEES AND PAYMENT TERMS.** Licensee agrees to pay the applicable license fees for the Licensed Products within thirty (30) days of the date of invoice or such other date as agreed in writing by the parties. Software license fees are non-refundable, except as provided in Section 5 above, and shall be paid without any deduction or tax withholding. Software License fees are exclusive of any applicable transportation charges, sales, use, value added tax, and other applicable taxes and duties, and all such amounts shall be paid or reimbursed by Licensee. Licensee shall be liable for all outstanding past due amounts, which shall accrue interest at the rate of 1.5% per month compounded or, if lower, the maximum rate allowed by applicable law, and any collection costs associated with the collection of any past due amounts.
- 13. AUDITS.** Licensor or an Auditor (as defined below) has the right to verify Licensee's compliance with the licenses issued under Product Orders, the applicable ALAs and this Agreement (please see Micro Focus License Compliance Charter at <http://supportline.microfocus.com/licensing/licVerification.aspx>, which can also be provided by Licensor at Licensee's request). Licensee agrees to:

  - A. Recordkeeping.** Keep, and upon Licensor's request, provide records, sufficient to certify Licensee's compliance with this Agreement based on the applicable License Option(s) (including applicable license metric and other terms and conditions) for the Licensed Software, which may include but are not limited to, serial numbers, license keys, logs, the location, model (including quantity and type of processor) and serial number of all machines on which the Licensed Software is installed or accessed or from which

the Licensed Software can be accessed, the names (including corporate entity) and number of users accessing or otherwise able to access the Licensed Software, metrics, reports, copies of the Licensed Software (by product and version), and network architecture diagrams as they may relate to Licensee's licensing and deployment of the Licensed Products and associated support and maintenance;

B. Questionnaire. Within seven (7) days of Licensor's request, Licensee shall furnish to Licensor or its designated independent auditor ("Auditor") a completed questionnaire provided by Licensor or Auditor, accompanied with a written statement signed by a director of Licensee certifying the accuracy of the information provided; and

C. Access. Provide representatives of Licensor or Auditor any necessary assistance and access to records and computers to allow an inspection and audit of Licensee's computers and records, during Licensee's normal business hours, for compliance with licenses, the applicable ALAs, and this Agreement, and fully cooperate with such audit.

D. Non-Compliance. In the event that Licensee has, or at any time has had, unlicensed installation, use of, or access to the Licensed Software or has otherwise breached this Agreement or an ALA (a "Non-Compliance"), without prejudice to any other rights or remedies Licensor may have, including, without limitation, injunctive relief, Licensee shall, within thirty (30) days' notice of such Non-Compliance to Licensee, purchase sufficient licenses and/or subscriptions and associated support and maintenance to cure the Non-Compliance, by paying Licensor's current (as of the date of such additional purchase) list license fees and 12-month support and maintenance fees to Licensor for such additional licenses, plus Licensor's current (as of the date of such additional purchase) list term license and support and maintenance fees and interest (compounded at 1.5% monthly or the maximum rate permitted by applicable law if lower) for such additional licenses for the time period from the commencement of the Non-Compliance until payment of the aforementioned fees, with interest payable even if an invoice was not issued at the time the Non-Compliance occurred. For purposes of the foregoing, "list" shall mean Licensor's full list price as set forth in Licensor's standard price list that is current as of the commencement of the audit without any volume or other discount. If Licensee's Non-Compliance results in an underpayment of license fees of 5% or greater, Licensee shall also reimburse Licensor for the reasonable cost of such audit in addition to other amounts due. In the event of a dispute related to a Non-Compliance, Licensor shall have the right to collect from Licensee its reasonable costs and attorneys' fees incurred in enforcing this Agreement.

**14. RELATED SERVICES.** Licensee shall be responsible for obtaining and installing all proper hardware and other third party support software (including operating systems) for the proper installation and implementation of the Licensed Software. In the event that Licensee retains Licensor to perform any services with respect to the Licensed Software (for example: installation, implementation, maintenance, consulting, or training services), Licensee and Licensor agree that such services shall be provided at Licensor's then- current standard terms, conditions, and rates for such services unless otherwise agreed in writing by Licensor.

## **15. PRIVACY AND USE OF LICENSEE INFORMATION.**

A. Responsibility and Compliance with Laws. Licensee is solely responsible for and assumes all liability with respect to its own collection, processing, storage, and transfer of any user data, including, but not limited to, personally identifiable information and personal health and financial information (collectively, "Personal Information"). Licensee shall be solely responsible for notifying its users of proper use of such data. Each party is responsible for complying with its respective obligations under all applicable laws, regulations, and industry standards regarding data collection and data privacy applicable for the use of the Licensed Software by the relevant party. Licensee shall not provide any Personal Information to Licensor for processing by Licensor on behalf of Licensee, unless otherwise agreed by the parties in writing in an applicable transaction document with applicable privacy terms. If the parties agree that processing Personal Information is necessary for the performance of this specific transaction, and when such Personal Information processing falls within the scope of the General Data Protection Regulation (EU) 2016/679 ("GDPR"), before any Personal Information is made available to Licensor, the parties agree that Licensee will be the data controller and Licensor will be the data processor, and when Licensor is processing Personal Information on behalf of Licensee, such processing shall be governed by terms that comply with Article 28 of the GDPR including standard contractual clauses to be included in such transaction document. Licensor will not have access to protected health information unless the

parties have an executed business associate agreement in place for this transaction. Licensee is solely responsible for assessing the Licensed Product or any related product or service for compliance with any industry requirements applicable to Licensee.

**B. Consent to Use of Licensee Information.** To the extent required or permitted by law, Licensee hereby expressly consents to (i) receiving information from Licensor from time to time advertising Licensor's products; (ii) the use of Licensee's name in Licensor customer lists, promotional materials, and press releases; and (iii) the collection and use of information about the computer system on which the Licensed Software is installed (e.g. product version, serial number) for internal security and licensing purposes. Further information about Licensor's processing of personally identifiable data is available at <https://www.microfocus.com/about/legal/#privacy> (click "Privacy Notice" tab) or can be provided by Licensor at Licensee's request.

**C. Other Use of Licensee Information.** To the extent required or permitted by law, and notwithstanding the terms in Section 15.A, Licensor may also process personally identifiable information of Licensee and Licensee's users (i) in order to comply with a legal obligation to which Licensor is subject; (ii) as is necessary for the performance of this Agreement; and (iii) where necessary for the purposes of Licensor's legitimate interests, except where such interests are overridden by the interests or fundamental rights and freedoms of the Licensee or Licensee's users which require protection of personally identifiable information.

**16. LICENSEE TRADEMARK AND FEEDBACK.** Licensor may use Licensee's name and logo for business development and marketing purposes, including, but not limited to, online and printed sales and marketing materials. Any other use of Licensee's name or logo, or a description of Licensee's use of the Licensed Software, shall be subject to Licensee's prior consent. Any suggestions, ideas for modifications, enhancements, and other feedback from Licensee regarding the Licensed Software provided at any time (collectively, the "Feedback"), including (but not limited to) all intellectual property rights in and to such Feedback, shall be owned exclusively by Licensor. Licensee hereby assigns all right, title and interest in and to such Feedback and all the intellectual property rights therein to Licensor, without the necessity of any further consideration. To the extent any Feedback cannot be assigned to Licensor, Licensee hereby grants to Licensor a perpetual, irrevocable, exclusive, worldwide, royalty-free, fully paid up license, with the right to sublicense through multiple tiers to use, make, sell, distribute, execute, adapt, translate, reproduce, display, perform, modify, create derivative works of and otherwise exploit the Feedback in any manner.

#### **17. MISCELLANEOUS.**

**A. Assignment.** Licensor may assign this Agreement, including any rights or obligations under the Agreement (in whole or in part) to a parent or an affiliate. Licensee may not assign or transfer this Agreement or any of its rights or duties hereunder, including (but not limited to) by merger, acquisition by any entity of all or substantially all of Licensee's stock or assets, change of control, operation of law, or otherwise, without the prior written consent of Licensor and payment by Licensee of the applicable assignment fee. Any attempted assignment not in accordance with this Section shall be null and void.

**B. Governing Law.** If Licensee is located in North America, the laws of the State of California govern this Agreement and the licenses granted hereunder, and the parties hereto consent to the exclusive jurisdiction of the State and Federal courts of the State of California in any action based on this Agreement or the Licensed Software hereunder or any License Option under an ALA. Each party waives any right it may have to object to such venue, including objections based on personal jurisdiction or forum non conveniens (inconvenient forum). The parties agree that the Uniform Computer Information Transaction Act or any version thereof, adopted by any state, in any form ("UCITA"), shall not apply to this Agreement. To the extent that UCITA is applicable, the parties hereby opt out of the applicability of UCITA pursuant to the opt-out provision(s) contained therein. If Licensee is located in France, Germany or Japan, this Agreement is governed by the laws of the country in which Licensee is located. In the rest of the world the laws of England govern this Agreement. In each case, the applicable law shall apply without regard to conflict of laws provisions thereof, and without regard to the United Nations Convention on the International Sale of Goods. Other than for North American transactions, this Agreement, the licenses granted hereunder, and the parties hereto, shall be subject to the exclusive jurisdiction of the courts of the country determining the applicable law as aforesaid.

C. Export Control. This Agreement may be subject to export control laws, regulations, and other restrictions of the United States (including, but not limited to, the U.S. Export Administration Regulations (the "EAR")), United Kingdom, or the European Union regarding export or re-export of computer software and technology. Licensee agrees to comply with all applicable export control laws, regulations, and restrictions, including the EAR, where applicable.

D. Entire Agreement. The applicable Product Order and this Agreement including the applicable ALA, constitutes the complete and exclusive statement of agreement between the parties relating to the license for the Licensed Products and supersedes all prior proposals, communications, purchase orders, and agreements (including, without limitation, Other Agreements), without need for a mutually executed amendment to any such Other Agreement. Any conflicting terms and conditions shall be resolved according to the following order of precedence: the applicable Product Order, the applicable ALA, and then this Agreement in all other respects.

E. Amendment. No representation, supplement, modification, or amendment of this Agreement will be binding on either party unless executed in writing by duly authorized representatives of both parties (excluding any distributor or reseller of Micro Focus) to this Agreement.

F. Waiver. No waiver of any right under this Agreement will be effective unless in writing and signed by authorized representatives of both parties (excluding any distributor or reseller of Licensor). No waiver of any past or present right arising from any breach or failure to perform will be deemed to be a waiver of any future right arising under this Agreement.

G. Severability. If any provision in this Agreement is invalid or unenforceable, that provision will be construed, limited, modified or, if necessary, severed, to the extent necessary, to eliminate its invalidity or unenforceability, and the other provisions of this Agreement will remain unaffected.

H. No Reliance. Each party acknowledges that in entering into this Agreement it has not relied on any representations, agreements, warranties or other assurances (other than those repeated in this Agreement) and waives all rights and remedies which but for this Section 17 would be available to it.

Micro Focus EULA (1 November 2019)