

Digital Safe Foundations Supervisor

No matter how large or small, organizations in financial services, banking, insurance industries, and government agencies or legally obligated to monitor employee communications and behavior. Many mid-sized to small organizations have long needed to choose between supervision solutions that fell short of their requirements or exceeded their budgets.

Digital Safe Foundations delivers a modern, cloud-native social collaboration-optimized compliance supervision product that delivers the perfect combination of precise search and supervision review accuracy, with an easy-to-use interface to drive highly efficient workflows.

Effective, Automated, Affordable Employee Communications Monitoring

Foundations Supervisor applies Micro Focus's market-leading data discovery and analytics platform, IDOL, to perform effective, automated monitoring of employee communications and investigations of all captured content. Built on a single, highly scalable, and proven information governance platform, Foundations Supervisor leverages industry expertise and intelligent filtering to uncover issues that matter most. Its easy-to-use interface empowers compliance officers to quickly assess alerts or perform system administration.

Holistic Information Governance Platform Using Artificial Intelligence (AI)

Micro Focus IDOL enables sophisticated analysis of thousands of file formats, originating from relevant enterprise data sources in more than 150 languages. IDOL uses deep-learning technology to recognize concepts, patterns,

and relationships across the wide range of business communication platforms in use today. By leveraging IDOL, all media—including attachments, emojis, stickers, and other denotative and connotative content—are cataloged and made available for search.

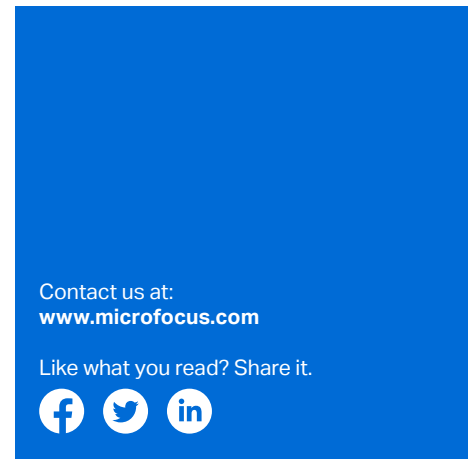
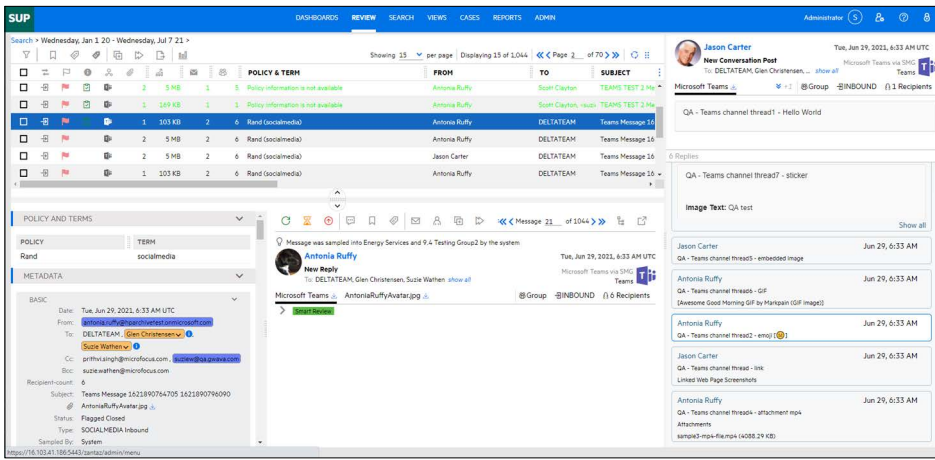
Growing Body of Social Collaboration Content

The widespread adoption of [social collaboration tools](#) to drive business efficiency and productivity compounds the risks of improper employee communications or conduct. In a recent study, over one-fourth of information governance professionals surveyed said that one or more employees used a social collaboration tool to attempt to circumvent compliance requirements.* The costs of such rogue actions can include intellectual property loss, regulatory agency fines and penalties, litigation, reputational damage, and much worse. Yet communications and content shared via social collaboration platforms—including both social media (such as Twitter and Facebook) and collaboration tools (such as Zoom and Teams)—are significantly more diverse, complex, and nuanced than email content, and thus challenging to monitor using legacy supervision solutions.

* [Archiving and Data Protection with Microsoft Teams, Osterman Research, 2021.](#)

Quick View

- Supervision monitoring and surveillance search capabilities for any captured piece of content
- Real-time, risk-based monitoring and filtering
- Intuitive review workflows with automated escalation management
- Reporting, trend analysis, and executive dashboards
- Implementation and program expertise from the Micro Focus Legal and Compliance team
- Industry best practices from the Micro Focus Compliance Customer Group



Intelligent, Risk-Based Sampling

Supervisor provides an intelligent, streamlined approach to monitor captured electronic communications. An intuitive interface with checkbox and radio button controls simplifies the work of targeted message sampling based on employee, group, risk category, and communication channel. This helps ensure that the right number and types of messages are selected based on risks and available review resources.

You can sample by:

- Communication channel
- Message direction (inbound, outbound, or internal)
- Entire groups or specific employees
- Desired percentage and mix
 - Random sampling
 - Policy filter alerts only
 - Policy filter alerts plus random percentage
 - Fixed amount with a mixed percentage of random and policy filter alerts

Automated, Risk-Based Filtering

Risk-based sampling ensures that the right types and number of messages are captured. Risk-based policy filters within Foundations Supervisor present only highly relevant alerts to compliance reviewers. Supervisor's policy selection engine also allows real-time supervision without the need to pre-review. You can utilize and configure data monitoring policy filters that cover over 70 areas of risk, such as:

- Money laundering
- Customer satisfaction

- Business conduct
- Financial industry regulatory adherence
- Legal or ethical conduct
- HR issues
- Intellectual property loss
- Insider information leaks
- Inappropriate trading conduct

Easy-to-configure policy filters provide an intuitive search-like interface based on communications direction, type, and originating or receiving organization. Policy filters simplify the inclusion or exclusion of messages based on:

- Content type analysis (such as a social media post versus a reply or a "like")
- Group sampling
- Participant analysis
- Contextual analysis (such as the appearance or absence of other key information)
- Word and phrase proximity analysis
- Message content, attachment, and subject line analysis
- Any metadata value associated with the content type

Integrated Surveillance Search and Investigation Capabilities

Legacy approaches to supervision—largely static reviews of message sets sampled by the system or triggered by a policy—no longer suffice. Today's compliance departments need the ability to search and investigate all relevant content far beyond the initial set of information provided in a review queue. They may need, for

example, to review an employee's communications prior to their resignation to assess the threat of intellectual property loss or poaching of key personnel.

Product Highlights

Key Benefits

- Gain insight across structured and unstructured data including email, instant messages, voice, social media, blogs, market announcements, market events, prices, trades, and directories
- Identify anomalies and patterns such as insider trading, corruption, collusion, and misrepresentation
- Enhance detection and prediction with graph analysis, behavior analysis, semantic analysis, and key risk indicators
- Respond proactively to business and market events using AI and deep learning
- Implement customized investigation and surveillance applications

Key Features

- Full audit trails
- Access controls
- Integrated case management
- Executive dashboards for issue tracking
- Over 90 out-of-the-box reports