Additional License Authorizations

For Secure Content Management software products
Additional License Authorizations
For Secure Content Management software products

Products and suites covered

<table>
<thead>
<tr>
<th>Products</th>
<th>E-LTU or E-Media available*</th>
<th>Perpetual License Non-production use category **</th>
<th>Term License Non-production use category (if available)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ControlPoint (previously called HPE ControlPoint)</td>
<td>Yes</td>
<td>Class 1</td>
<td>Class 3</td>
</tr>
<tr>
<td>Content Manager (previously called HPE Content Manager, HP Records Manager and HP TRIM)</td>
<td>Yes</td>
<td>Class 1</td>
<td>Class 3</td>
</tr>
<tr>
<td>Structured Data Manager (previously called HPE Structured Data Manager and HP Application information Optimizer)</td>
<td>Yes</td>
<td>Class 1</td>
<td>Class 3</td>
</tr>
</tbody>
</table>

* Any product sold as E-LTU or E-Media shall be delivered electronically regardless of any contrary designation in a purchase order.
** Non-production use rights, if any, can be found at software.microfocus.com/legal/software-licensing.

Definitions

Capitalized terms not otherwise defined in this ALA document are defined in the governing agreement.

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administrator Named User</td>
<td>Means a Named User who configures the system, sets up the policies and administers the control data, such as protective markings, retention schedules, classifications etc. This role applies to both Content Manager Select and Content Manager Perpetual.</td>
</tr>
<tr>
<td>Casual Contributor Named User</td>
<td>Means a Named User who uses other enterprise content management systems, such as SharePoint or an ISV provided interface, but need to declare finished records into Content Manager. They will mainly use the integration with their ECM system or the web client and will not update documents through Content Manager interfaces. The Casual Contributor is only available in Content Manager Perpetual.</td>
</tr>
<tr>
<td>Concurrent user</td>
<td>Means the software is licensed by the amount of users that simultaneously use the software at any one point in time. The software can be installed on any number of computers, provided that the actual usage of the software does not exceed the number of licenses purchased.</td>
</tr>
<tr>
<td>CPU</td>
<td>Means a system that contains a single central processing unit with a single integrated circuit package with a single discrete processing core.</td>
</tr>
<tr>
<td>CPU Core or CRE</td>
<td>Means each individual core where a CPU may have more than one core.</td>
</tr>
<tr>
<td>Database or DB</td>
<td>Means a container which stores application data including table structure, stored procedures, and other functionality that runs on a Database Instance.</td>
</tr>
<tr>
<td>Database Instance</td>
<td>Means a software application that has the sole purpose of acting as a container that hosts and executes one of more Databases.</td>
</tr>
<tr>
<td>Device or Dev</td>
<td>Means an addressable entity, physical or virtual, including but not limited to router, switch, bridge, hub, server, PC, laptops, handheld device or printer that resides within the range defined for interrogation and asset tracking.</td>
</tr>
<tr>
<td>E-LTU and E-Media</td>
<td>Means products which are electronically delivered only, and as such any reference to FOB Destination or delivery methods that are stated on your purchase order other than electronic shall be null and void with respect to these E-LTU or E-Media products.</td>
</tr>
<tr>
<td>Implementation</td>
<td>Means an installation of the software on a single Server or installed on a cluster of Servers which work together as a single installation of the software.</td>
</tr>
<tr>
<td>Inquiry Named User</td>
<td>Means a named user who has search rights only with a specified set of permissions assigned by the system administrator or policy manager. An inquiry user can search for permissible content and download it for use. Inquiry users are entitled to the save search function. Inquiry users are not allowed to participate in approval workflows nor are they the able to insert new content into the CM repository.</td>
</tr>
</tbody>
</table>
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For Secure Content Management software products

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<th>Term</th>
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<tbody>
<tr>
<td>Instance</td>
<td>Means each implementation of the application installed on a Server.</td>
</tr>
<tr>
<td>Integration</td>
<td>Means a connection between a source system such as a data feeder where the data or metadata are migrated from the source to the Content Manager software.</td>
</tr>
<tr>
<td>Internal Use</td>
<td>Means access and Use of the software for purposes of supporting your internal operations or functions.</td>
</tr>
<tr>
<td>Knowledge Worker Named User</td>
<td>Means a Named User who uses Content Manager as part of their day-to-day business processes as a document management and filing system. These Named Users will take part in workflows, add and edit documents and search for information. The user type is available only in Content Manager Perpetual.</td>
</tr>
<tr>
<td>LTU</td>
<td>Means License To Use.</td>
</tr>
<tr>
<td>Named Administrator User</td>
<td>Means a Named User who configures the system, sets up operational and administrative policies and has configurable access to all permissions in the user table.</td>
</tr>
<tr>
<td>Named Inquiry User</td>
<td>Means a named user who is registered on the system and is able to search and access content via search directly through the CM Select interface or through a bespoke interface, including SharePoint, SharePoint Online or Office 365. There is no user fee for a CM Select Named Inquiry User, but the users must be registered on the system to access permissible content.</td>
</tr>
<tr>
<td>Named Power User</td>
<td>Means a named user who actively participates in the product by creating content for retention or creating and or participating in workflows for content service purposes. The Power User permissions cover a wide range of content creation, records declaration, records management and other document management functionality included in the product. Permissions are detailed in the CM Select Permissions table.</td>
</tr>
<tr>
<td>Named User</td>
<td>Means a specific individual authorized by you to access the software regardless of whether they are actively using the software.</td>
</tr>
<tr>
<td>Object</td>
<td>Means a discrete unit of content that has its own metadata and unique set of identifiers. Objects can include any content type, including but not limited to audio, video, text, message or document. It has to be findable in search, capable of being rendered in its native format, transported or held.</td>
</tr>
<tr>
<td>Records Coordinator Named User</td>
<td>Means a Named User who looks after the day-to-day records management tasks for a business unit. This includes tasks such as interaction with storage providers for physical records, printing of labels and managing the review of their business unit’s records that are due for destruction. Permissions include policy and action management. The user type is available only in Content Manager.</td>
</tr>
<tr>
<td>Repository</td>
<td>Means a set of data locations that are grouped together for the purpose of data analysis and management.</td>
</tr>
<tr>
<td>Server or Svr</td>
<td>Means any designated computer system in which an Instance or Instances of the software is installed.</td>
</tr>
<tr>
<td>Source or Src</td>
<td>Means the production database being extracted or archived from.</td>
</tr>
<tr>
<td>TB</td>
<td>Means terabytes which designates the amount of physical capacity that can be managed.</td>
</tr>
<tr>
<td>Term License to Use or Term LTU</td>
<td>Means a software license to use (LTU) which indicates in its license description that the license is valid for a specific period of time such as One Month (1M), One Year (1Y) etc. Term LTU’s are not perpetual licenses.</td>
</tr>
<tr>
<td>Unlimited</td>
<td>Means without restrictions in terms of number of systems, devices or media, depending on the context.</td>
</tr>
<tr>
<td>Use</td>
<td>Means to install, store, load, execute and display one copy of the software.</td>
</tr>
</tbody>
</table>
Software specific license terms

Software products with software specific license terms are described below. Software products covered by this ALA document (as listed above) and not covered in this section do not have software specific license terms.

ControlPoint (previously called HPE ControlPoint)

ControlPoint is licensed by TB and based on the maximum capacity under management by ControlPoint. The calculation of the TB’s required is calculated by summing up the size of all the Repositories under management. If any subset of data is analyzed by two separate Repositories then this data is counted only once. Repository includes the following concepts present in ControlPoint: repository, subset, repository group.

Any amount of data that has been deleted or migrated outside the analyzed Repository by the software or in a manual matter frees ControlPoint licensing capacity that can be then reused. Said freed spaced can however be reused only to analyze the natural growth of the same Repository. The freed up licensing capacity can therefore not be used to analyze a new set of Repositories. Under these circumstances the customer is prohibited from pointing the product to a new Repository.

If the Repository is fully deleted or migrated from the primary Source (all of the originally analyzed files are deleted or migrated to a different location) then the freed up licensing capacity can be reused for a different Repository.

Under no other circumstances does the deletion or migration of data free licensing capacity.

ControlPoint IDOL Find Add-on is licensed by concurrent users. Provides the IDOL FIND UI for exploration and analysis of data held within the CP IDOL instance. Each SKU provides access for up to 5 concurrent users within a working day. The user count is automatically reset after each 8 hour period. Up to 10 SKUs can be purchased providing concurrent access for a maximum of 50 users.

Secure Content Manager IDOL PII image Add-on 1 ingest channel is licensed by concurrent channels of the PII/Image analysis, multiple instances can be purchased to increase throughput.

Secure Content Manager IDOL OCR Add-on 1 ingest channel is licensed by concurrent channels of the OCR analysis, multiple instances can be purchased to increase through put.

Secure Content Manager IDOL Speech To Text Add-on 1 ingest channel is licensed by concurrent channels of the Speech to Text analysis, multiple instances can be purchased to increase through put.

Secure Content Manager IDOL Plus Add-on Connector is licensed by number of additional connectors required. Allows selection of 1 additional connector from the list below, multiple instances can be purchased to add further connectors

- File System (all platforms)
- ODBC
- Web / HTTP
- Facebook
- Amazon
- Twitter
- LinkedIn
- YouTube
- Instagram
- Yammer
- Weibo
- Box
- Chatter
- Confluence
- Documentum
- Dropbox
- Dynamics
- Evernote
- Exchange Odata
- Exchange WS
- FTP
- Gnip
- Google Drive
- IMAP
- Jive
- Lithium
- Lotus Notes
- OneDrive
- Oracle UCM
- POP3
- RSS/Atom
- Salesforce
- SAP Netweaver
- SharePoint Odata
- SharePoint Remote
- Skype for Business
Additional License Authorizations
For Secure Content Management software products

- SourceOne
- Twitter streaming
- Adobe Experience Manager
- Amazon S3
- AzureBlob
- CMIS
- Content Manager
- Digital Safe
- Documentum PLUS
- Drupal
- Enovia
- Enterprise Vault
- Exchange Odata PLUS
- eRoom
- FileNet P8 PLUS
- Hadoop
- Hubspot
- Object Store
- IBM Content Manager
- IBM Seedlist
- Jama
- JIRA
- MS Planner
- MS Teams
- Notes PLUS
- ObjectQuest Archive Media
- Service Now
- SharePoint Odata PLUS
- SharePoint Remote PLUS
- Slack

Content Manager (previously called HPE Content Manager, HP Records Manager and HP TRIM)

Content Manager is available as both Perpetual or Subscription license model.

A **perpetual** software license is a type of software license that authorizes an individual/organization to use a program indefinitely.

**Subscription** software is priced on a recurring basis and is an on-going subscription, in effect the software is being rented rather than owned.

**Content Manager Perpetual**

Content Manager Perpetual is licensed as described below.

Content Manager (CM) is sold by edition; see product specifications for an overview of the functionality included in these editions.

Each edition includes **one Administrator Named User** and **one Records Coordinator Named User**.

The edition license will have further additional licenses added to it:

1. **Users**
   Any additional users must be added according to user role:
   - Administrator Named User,
   - Records Coordinator Named User,
   - Knowledge Worker Named User,
   - Casual Contributor Named User and
   - Inquiry Named User.

   A Named User license may not be transferred from one individual to another unless the original Named User no longer requires and is no longer permitted to access (there are no concurrent named users in Content Manager Select or Content Manager Perpetual).

2. **Volume**
   A volume package must be purchased, accounting for the number of meta-data records managed by CM.
3. **Functional Modules**

Different editions include a different selection of the below modules. If a module is not included in an edition, it can be purchased at an additional cost.

- Content Manager Optical Character Recognition
- Content Manager Regulatory Record Keeping SAP Module
- Content Manager Rendering
- Content Manager SAP Integration
- Content Manager Warehouse Integration
- Content Manager Policy Center

**Content Manager Select**

*Content Manager Select* is a business model allowing organizations to pay by subscription or term. In this model, customers can purchase use of the solution for a one- or three-year period.

*Content Manager Select is sold by a single edition – Content Manager Select Edition*; see product specifications for an overview of the functionality included in this edition. The CM Select Edition is sold by a subscription (term) license in the following terms.

- One or Three-year terms
- Three-month terms for Managed Solution Providers (MSP) only.

The key licensing metrics are

- paid users on a defined term basis,
- volume under management (the number of meta-data records managed in the system) and
- two optional modules that can be purchased on the same term basis.

Licensees must purchase the CM Select edition – that includes at no additional cost:

- Five Administrator Users (user package)
- 500,000 record objects (volume package)
- subscription licenses for every administrator user named registered in the system.
- the following modules that are currently available for purchase or by bundle in Content Manager Perpetual:
  - Renditioning,
  - Optical Character Recognition (limit to two channels),
  - Auto-Classification,
  - Data Warehousing and
  - Advanced Disposal Processing.
In addition, licensees may purchase additional:

1. User types – **Administrator and Power User**. (The Inquiry user is free of charge and unlimited)
   - Content Manager Select User Types are **Content Manager Select**
     - Named Administrator User,
     - Named Power User, and
     - Named Inquiry User.

2. Volume - Licensees are required to purchase volume modules to manage and retain objects in excess of 500,000 objects.

Additional License Authorizations for the Secure Content Management software products are classified as **Functional Modules** and **Editions and Volume Packages**.

**Functional Modules**
- **Content Manager Optical Character Recognition** is sold by package and is licensed per pack of 10 million Objects or for Unlimited Objects.
- **Content Manager Regulatory Record Keeping SAP Module** per pack of 100 million Objects or for Unlimited Objects.
- **Content Manager Rendering** is licensed by Implementation.
- **Content Manager SAP** is licensed per pack of 10 million Objects.
- **Content Manager Warehouse Integration** is licensed per Integration.

A Named User license may not be transferred from one individual to another unless the original Named User is no longer required, and is no longer permitted to access.

- **Content Manager Policy Center** – This connector allows Content Manager to directly communicate with the Iron Mountain Policy Center, allowing for retention and legal citations to be directly attached to the metadata of records objects either ingested or created in Content Manager. It is licensed by module on implementation.
- **Content Manager SAP Integration** – This integration, licensed by module on implementation, allows organizations to actively manage records contained in SAP by re-locating records into the Content Manager Repository. The SAP Integration is royalty-bearing as it uses third party software.
- **Content Manager Auto-Classification** Content Manager Auto-Classification is sold by package; each package is licensed by Implementation.
- **Content Manager IDOL Find Add-on**
  Content Manager IDOL Find Add-on is licensed by instances of Content Manager. Provides the IDOL FIND UI for exploration and analysis of data held within the CM IDOL instance. Each SKU provides access for unlimited users.

**Editions and Volume Packages**
- **Content Regulatory Record Keeping Base** is licensed per pack of 100 million Objects plus 10 Named Users.
- **Content Manager Volume Package** is licensed per pack of 500 thousand, 1 million, 3 million, 5 million, 10 million or 100 million Objects.
Structured Data Manager (previously called HPE Structured Data Manager, HP Application Information Optimizer and HP Database Archiving)

Structured Data Manager is licensed by Source Database. If multiple Databases exist on one physical Server, each Database to be archived is considered a Source Database and must have a separate license. For example, if three Databases reside on one physical Server and only one will be archived with Structured Data Manager, only that one Database is considered a Source Database and requires a license. Licenses cannot be transferred between production Databases.

Structured Data Manager is only licensed per Source Platform to customers who purchased such licenses prior to December 1, 2013.

Structured Data Manager single Source Platform: includes the license-to-use (LTU) for archiving data from a production Database to an archive in either the form of another Database or a file. Licensing is determined by:

- The total number of CPU Cores that are being used by the production Database to be archived.
- If multiple Databases exist on one physical server, each Database to be archived must have a separate license. For example, if 3 Databases reside on one physical server and only one will be archived with Structured Data Manager, only that 1 Database requires a license.
- If the production Database does not have a fixed number of CPU Cores allocated to it, in a partitioned or provisioned environment for example, the Database should be licensed for the maximum number of CPU Cores that the Database could use at any given time.
- If the production Database resides in an Oracle RAC environment, the Database should be licensed according to the total number of CPU Cores across nodes allocated to that production Database.

Licenses cannot be transferred between production Databases. License does not include Neoview as a production Source Database.

Structured Data Manager 5 Source Platform: includes the license-to-use (LTU) for archiving data from 1-5 production Databases to an archive in either the form of another Database or a file. This multi-Source license is determined by:

- The total number of production Databases between 1-5 to be archived.
- This multi-Source license does not consider the amount of CPU Cores on each of the individual Databases.

This license is strictly limited for use on 1-5 production Databases. Use of the software on 6 or more production Databases is in violation of the license. Licenses cannot be transferred between production Databases. License does not include Neoview as a production source Database.

Structured Data Manager 25 Source Platform: includes the license-to-use (LTU) for archiving data from 1-25 production Databases to an archive in either the form of another Database or a file. This multi-Source license is determined by:

- The total number of production Databases between 1-25 to be archived.
- This multi-Source license does not consider the amount of CPU Cores on each of the individual Databases.

This license is strictly limited for use on 1-25 production Databases. Use of the software on 26 or more production Databases is in violation of the license. Licenses cannot be transferred between production Databases. License does not include Neoview as a production source Database. Options for all pre-packaged application support modules (EBS or PSFT), Transparency and Archive Query Server are included.
Structured Data Manager Unlimited Source Platform: includes the license-to-use (LTU) for archiving data for an Unlimited number of production Databases to archives in either the form of another Database or a file. This multi-Source license is strictly limited to the legal entity that this license is being sold to. This multi-Source license does not consider the amount of CPU Cores on each of the individual Databases. License does not include Neoview. Options for all pre-packaged application support modules (EBS or PSFT), Transparency and Archive Query Server are included.

Additional license terms

<table>
<thead>
<tr>
<th>Term</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Any licensing terms designated as being of Autonomy that may be embedded in the software are inapplicable in their entirety.</td>
</tr>
<tr>
<td>B.</td>
<td>Software contains software and associated specifications licensed from third parties that are confidential to, and trade secrets of, such parties. You will not take any action other than to Use it as authorized under the agreement as part of the software products and will not disclose it to third parties.</td>
</tr>
<tr>
<td>C.</td>
<td>You shall install and use the software as authorized in the applicable agreement only as a complete product and may not use portions of such software on a standalone basis separate from the complete software unless expressly authorized in the Supporting Material, specifications or an applicable agreement.</td>
</tr>
<tr>
<td>D.</td>
<td>Unless stated otherwise, you are authorized to Use one Device at a time for your Internal Use.</td>
</tr>
</tbody>
</table>