



Customer Success Story

Retain Unified Archiving
 Retain Social
 Retain Mobile
 Retain Email

Financial Institution

To fully comply with FINRA, SEC, SOX, and the Dodd Frank Act, which generally state that electronic communication data must be archived and easily retrievable, this financial institution made the switch from Symantic eVault to Micro Focus® Retain™ Unified Archiving.



Challenge

A large financial institution had a need to archive mobile messages for its iOS, Android, and BlackBerry devices. This institution has numerous employees that communicate with customers using mobile devices and needed oversight and management of the data to ensure that the employees were not violating policies or regulations, by sharing stock tips, portfolio information, or other financial advice through unauthorized accounts or other means.

The regulations that govern this institution are FINRA, SEC, SOX, and the Dodd Frank Act, which generally state that electronic communication data must be archived and easily retrievable. This financial institution was using Symantec Enterprise Vault (eVault), which lacks the essential ability to archive mobile communication data.

Solution

To fully comply with the regulations, this institution chose to migrate to Retain Mobile using Akaibu. Retain Mobile archives iOS, Android, and BlackBerry device messages, in addition to Retain Email, Retain Social, and instant messaging data with Retain Mobile. This data is archived in one central location and can be easily accessed, searched, and published with the built-in eDiscovery tools. This financial institution was able to migrate its eVault archive to Retain Unified Archiving using Akaibu. The migration with Akaibu was quick and seamless, with no data loss. Now the institution has a complete archiving solution, and they are fully compliant, with Retain Unified Archiving.

At a Glance

- **Industry**
Financial Services
- **Location**
United States
- **Challenge**
The organization needed an archiving solution that would help fulfill all compliance laws and regulations.
- **Solution**
Use Retain Unified Archiving as a complete archiving solution.
- **Results**
 - + Archives iOS, Android, and BlackBerry device messages
 - + Archives email, social media, and instant messaging data
 - + Migrated seamlessly from eVault to Retain Unified Archiving

**Retain Unified Archiving archives iOS, Android,
and BlackBerry device messages, in addition to
email, social media, and instant messaging data.**

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